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<p>(21) International Application Number: PCT/US99/02538 (22) International Filing Date: 5 February 1999 (05.02.99) (30) Priority Data: 09/019,648 6 February 1998 (06.02.98) US (71) Applicant (for all designated States except US): SUPRATEK PHARMA INC. [CA/CA]; Suite 700, 275 St. Jacques, Montreal, Quebec H2Y 1M9 (CA). (72) Inventors; and (75) Inventors/Applicants (for US only): KABANOV, Alexander V. [RU/US]; 1304 South 126th Street, Omaha, NE 68144 (US). ALAKOV, Valery Y. [RU/CA]; 22 Sunny Acres Baie, D'Urfe, Quebec H9X 3B6 (CA). BATRAKOVA, Elena V. [RU/US]; 4504 S. 48th Street, Omaha, NE 68117 (US). (74) Agent: BERNSTEIN, Scott, N.; Mathews, Collins, Shepherd & Gould, P.A., Suite 306, 100 Thanet Circle, Princeton, NJ 08540 (US).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published With international search report.</p>
<p>(54) Title: COPOLYMER COMPOSITIONS FOR ORAL DELIVERY (57) Abstract Compositions for oral delivery having a biological agent or protein, peptide, or derivative thereof, and a poly(oxyethylene)-poly(oxypropylene) block copolymer. The compositions are useful in oral delivery of numerous agents. The invention also encompasses methods of treatment using the same.</p>		

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COPOLYMER COMPOSITIONS FOR ORAL DELIVERY

This application is a continuation-in-part of U.S. Application No. 08/478,979, filed June 7, 1995, and U.S. Application No. 08/951,079, filed October 15, 1997, which is a divisional of U.S. Application No. 08/478,978 filed June 7, 1995, 5 which is a continuation-in-part of 08/374,406, filed January 17, 1995, which in turn is a continuation of U.S. Application No. 07/957,998, filed October 8, 1992.

FIELD OF THE INVENTION

The invention relates to copolymer pharmaceutical compositions useful in oral administration of a number of biological agents.

10

BACKGROUND OF THE INVENTION

A variety of biological agents are currently in use for the treatment of diseases and disorders. Many of these agents may be administered topically, rectally, vaginally, by pulmonary route, or parenterally.

However, parenteral administration (such as intramuscular, subcutaneous, 15 intraperitoneal, intra-arterial or intravenous) as well as rectal, vaginal, and pulmonary routes, are often inconvenient, costly, or both. Oral administration thus possesses several advantages over these other routes. It is a convenient, cost-effective mode of administration for the patient.

The present invention relates, among other things, to (1) pharmaceutical 20 compositions and methods for chemotherapeutic agents and (2) pharmaceutical compositions for biological agents, particularly those whose target cells or tissues are resistant to the biological agent.

A number of chemotherapeutic agents exhibit low solubility and stability in physiological fluids. Often, chemotherapeutic agents are poorly transported 25 across cell membranes. Further, many of these agents are binding with plasma proteins as well as other nonspecific interactions in the blood stream before they can reach the target cancer.

Multi-Drug Resistance

A major roadblock to effective chemotherapeutic treatments is the resistance to biological agents that many neoplasms and microbial infections develop. The sensitivity of neoplastic cells to anti-cancer agents can decrease by a factor as high as 10^3 during the course of a chemotherapeutic regimen. When such resistance develops with respect to one agent, often the target cells are found to also be resistant to a number of other biological agents to which they had not previously been exposed. See Goldstein *et al.*, *Crit. Rev. Oncol. Hematol.*, 12:243-253 (1992); Goodman and Gilman's *The Pharmacological Basis of Therapeutics*, 8th Ed., McGraw-Hill, New York, 1994. One mechanism by which such resistance develops is believed to involve the membrane pump protein gp-170 (a glycoprotein P or P-gp protein). See Goldstein *et al.*, *Crit. Rev. Oncol. Hematol.*, 12:243-253 (1992).

It has now been discovered that these difficulties can be overcome by administering the biological agent in question in a formulation containing micelles of one or more block copolymers with the characteristics described below. Further, it has now been discovered that a certain subset of these block copolymers is particularly effective in delivering drugs and reversing resistance to a biological agent.

The Blood Brain Barrier

The brain is isolated from circulatory blood because the endothelial cell lining of blood vessels in the brain is more selective than it is in other parts of the body with respect to the molecules that are allowed to diffuse into the interstitial space of the brain. The mechanism that isolates the brain is often referred to as a "blood-brain barrier." As a result of the blood-brain barrier, biological agents that are intended to affect the brain or a disease in the brain often must be administered in high dosage to compensate for the diffusion barrier provided by the blood-brain barrier. Animals to whom the high doses are administered are at greater risk of experiencing toxic or other side effects. It is therefore desirable to enhance the permeability of chemotherapeutic agents across the blood-brain barrier. See,

Goodman's and Gilman's The Pharmacological Basis of Therapeutics, Eighth Edition, p.11.

In the brain and in other tissues it is often desirable to target a biological agent to a particular tissue at which the agent is anticipated to beneficially act. This desirability is particularly true for chemotherapeutic agents that potentially have highly toxic effects on non-target tissues. For instance, most anti-cancer chemotherapeutic agents function by selectively poisoning replicating cells. This mechanism inevitably targets the rapidly replicating cells, such as those of the bone marrow that generate a number of important blood cells. If the biodistribution of the chemotherapeutic drug is changed so that useful concentrations are maintained in the cancerous tissue or the tissue in which the cancer resides while concentrations distal from the cancer *situs* are reduced, the scope of toxic side effects will generally be reduced.

Additionally, since cancer, antimicrobial and other biological agents exhibit toxicities, it would be beneficial if dosages were lowered without adversely affecting the therapeutic index.

Tumors of the central nervous system present a particularly difficult therapeutic challenge. Such tumors are often difficult to surgically excise and surgical excision can have unacceptable consequences. These tumors can be difficult to treat with radiation since they are sometimes difficult to precisely locate and are often too close to tissues that are critical to the well-being of the tumor patient. Such tumors cannot be effectively treated by standard chemotherapies since the fraction of the administered chemotherapeutic agent that will reach the tumor is very small. The effective dosage at the tumor cannot be increased by administering higher dosages to the patient, since standard dosages are generally close to the dose that cause unacceptable side effects.

Cytokines

Cytokines are polypeptides secreted by cells. Cytokines play an important role in the interactions between cells in the immune system, and are therefore

potentially effective drugs for the treatment of cancer, as well as viral-related and other diseases. The mechanism of action of these protein factors is connected with specific activation of the immune system which, in turn, protects against many pathological processes. Well known are antiviral preparations on the-basis of
5 interferons (Infs) that are already used in clinical practice. For example, clinical tests of interleukin-2 (IL-2) and tumor necrosis factor (TNF) as anticancer drugs have yielded promising results. A great deal of work has been devoted to creation of new drugs on the basis of IL-4 and other lymphokines.

Generally speaking, recombinant cytokines possess low affinity for specific
10 receptors on target cells because of incorrectly formed tertiary structures and the absence of necessary post- translational modifications in bacterial super-producers. Such recombinant preparations display low biological activity, and very high doses are required, producing considerable side effects.

Hormones

15 Hormones are chemical messenger molecules secreted by endocrine glands which regulate various aspects of metabolism. Insulin, for example, is a protein hormone secreted in the pancreas by the islets of Langerhans. Insulin stimulates catabolism of glucose and blocks glycogenolysis, thereby facilitating diffusion of glucose into most cells. The inability to form insulin results in diabetes mellitus,
20 which is currently treated through insulin injection in conjunction with dietary regulation to control blood sugar levels. Insulin production and thus is of particular interest in molecular biology and enzymology.

It is therefore desirable to administer a biological agent or agents to a patient in a composition which can be administered orally, and which alleviates some or
25 all of the above difficulties.

SUMMARY OF THE INVENTION

The present invention thus relates to compositions for oral administration comprising a biological agent and a block copolymer.

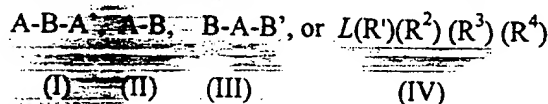
In one embodiment, the invention provides a pharmaceutical composition comprising:

- (a) a biological agent;
- (b) a polyether block copolymer comprising an A-type linear polymeric
5 segment joined at one end to a B-type linear polymeric segment, wherein the A-type segment is of relatively hydrophilic character, the repeating units of which have molecular weight contributions between about 30 and about 500; wherein the B-type segment is of relatively hydrophobic character, the repeating units of which have molecular weight contributions between about 30 and about 500,
10 wherein at least about 80% of the linkages joining the repeating units for each of the polymeric segments comprise an ether linkage; and
- (c) a targeting moiety coupled to a lipophilic moiety comprising a hydrocarbon having from about 3 to about 41 carbon atoms, more preferably a hydrocarbon having from about 5 to about 25 carbon atoms, and more preferably,
15 a hydrocarbon having from about 9 to about 17 carbon atoms.

The invention thus relates to pharmaceutical compositions comprising a biological agent and a poly(oxyethylene)-poly(oxypropylene) block copolymer. Preferred compositions include those wherein the poly(oxypropylene) [*i.e.*, hydrophobe] portion of said block copolymer comprises at least 50% by weight of
20 the block copolymer. Also preferred are compositions wherein the hydrophobe molecular weight of the block copolymer is at least about 900, and more preferably at least about 1700. Especially preferred are compositions wherein the hydrophobe molecular weight of the polyether block copolymer is at least about 2000 and the hydrophobe weight percentage is at least about 20%. The invention
25 also relates to methods of treatment using the same.

Also preferred are compositions wherein the block copolymers have a critical micellar concentration ("CMC") of about 0.5% wt/vol. or less at 37°C in an isotonic aqueous solution.

In yet another preferred embodiment, the polyether block copolymer is selected from the group consisting of polymers of formulas:



- 5 wherein A and A' are A-type linear polymeric segments, B and B' are B-type linear polymeric segments, and R¹, R², R³ and R⁴ are either block copolymers of formulas (I), (II) or (III) or hydrogen and L is a linking group, with the proviso that no more than two of R¹, R², R³ or R⁴ is be hydrogen.

- 10 In a preferred embodiment, the composition is adapted to include micelles composed of the block copolymer or to form micelles composed of the block copolymers during the course of administration or subsequent thereto. Preferably, at least about 0.1% of the biological agent is incorporated in the micelles, more preferably, at least about 1.0% of the biological agent, yet more preferably, at least about 5% of the biological agent.

- 15 In a preferred embodiment, the hydrophobe percentage of the copolymer of the composition is at least about 50% more preferably, at least about 60%, yet more preferably 70%.

- 20 In another preferred embodiment, the hydrophobe weight of the copolymer is at least about 900, more preferably, at least about 1700, yet more preferably at least about 2000, still more preferably at least about 2300.

In further preferred embodiments, the hydrophobe weight is at least about 2000 and the hydrophobe percentage is at least about 20%, preferably 35%; or the hydrophobe weight is at least about 2300 and the hydrophobe percentage is at least about 20%, preferably 35%.

- 25 In another preferred embodiment, the copolymer or copolymers of the composition have a critical micellar concentration ("CMC") of no more than about 0.5% wt/vol. at 37°C in an isotonic aqueous solution, preferably, no more than

about 0.05% wt/vol., more preferably, no more than about 0.01% wt/vol., yet more preferably, no more than about 0.003% wt/vol.

Preferably, the copolymers of the composition conform to Formula (V), which is set forth in the text below. Particularly preferred among these
5 copolymers are those having hydrophobe weights between about 1500 and about 2000, preferably between about 1710 and about 1780, and hydrophobe percentages between about 85% and about 95%, preferably between about 88% and about 92%. Also particularly preferred among these copolymers are those
10 having hydrophobe weights between about 3000 and about 3500, preferably between about 3200 and about 3300, and hydrophobe percentages between about 15% and about 25%, preferably between about 18% and about 22%. Additionally particularly preferred among these polymers are that having hydrophobe weights
15 between about 3500 and about 4000, preferably between about 3700 and about 3800, and hydrophobe percentages between about 25% and about 35%, preferably between about 28% and about 32%.

In a preferred embodiment, the biological agent of the composition is an agent that affects the function of the brain or treats or prevents a disease of the brain.

In a second embodiment, the invention provides a pharmaceutical composition comprising an biological agent solubilized in polymeric micelles
20 having associated therewith a targeting moiety coupled to a lipophilic moiety comprising hydrocarbon having from about 3 to about 41 carbon atoms, more preferably a hydrocarbon having from about 5 to about 25 carbon atoms, yet more preferably, a hydrocarbon having from about 9 to about 17 carbon atoms.

In another embodiment, the invention provides a method of targeting a
25 biological agent to a pre-selected tissue. The method comprises administering the composition described above, wherein the targeting moiety is selected to target the tissue, to an animal having the pre-selected tissue.

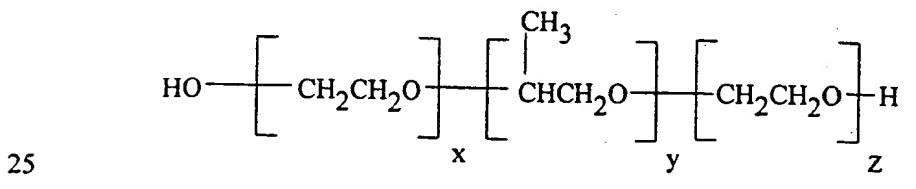
In yet another embodiment, the invention provides a method of treating a microbial disease or a tumor of the brain by administering a composition comprising:

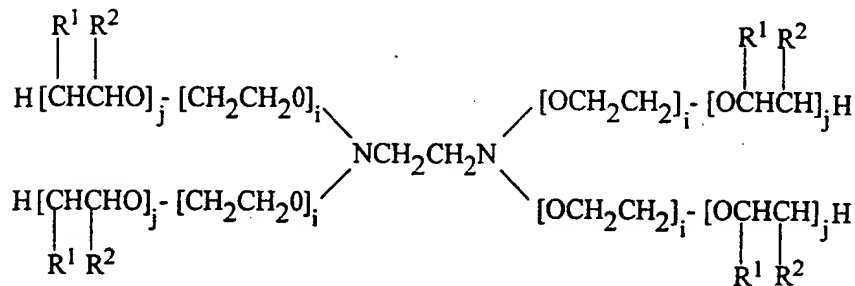
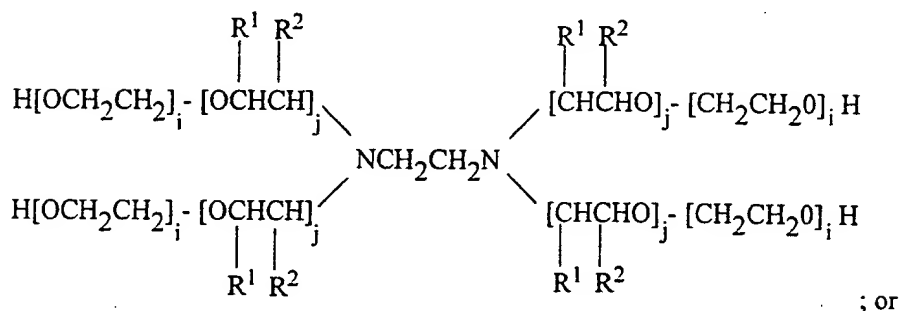
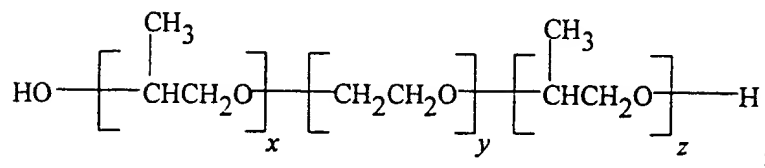
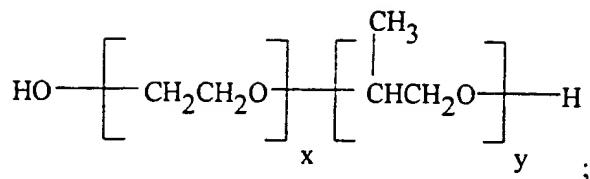
- (a) a chemotherapeutic agent; and
- 5 (b) a polyether block copolymer comprising an A-type linear polymeric segment joined at one end to a B-type linear polymeric segment, wherein the A-type segment is of relatively hydrophilic character, the repeating units of which contribute an average Hansch-Leo fragmental constant of about 0.4 or less and have molecular weight contributions between about 30 and about 500, wherein the
- 10 B-type segment is of relatively hydrophobic character, the repeating units of which contribute an average Hansch-Leo fragmental constant of about -0.4 or more and have molecular weight contributions between about 30 and about 500, wherein at least about 80% of the linkages joining the repeating units for each of the polymeric segments comprise an ether linkage. In a preferred embodiment,
- 15 the composition used in this embodiment will include a targeting molecule.

In yet another embodiment, the invention relates to compositions for the delivery of biologically active agents comprising a poly(oxyethylene)-poly(oxypropylene) block copolymer and at least one of (a) a protein, peptide, or derivative thereof, or (b) a biologically active agent, or derivative thereof having

20 reduced cellular transport, reduced penetration into tissues, or reduced penetration across biological barriers, due to membrane proteins, wherein the hydrophobe percentage of the poly(oxyethylene)-poly(oxypropylene) block copolymer is at least about 50%.

The preferred block copolymers are of the formula:

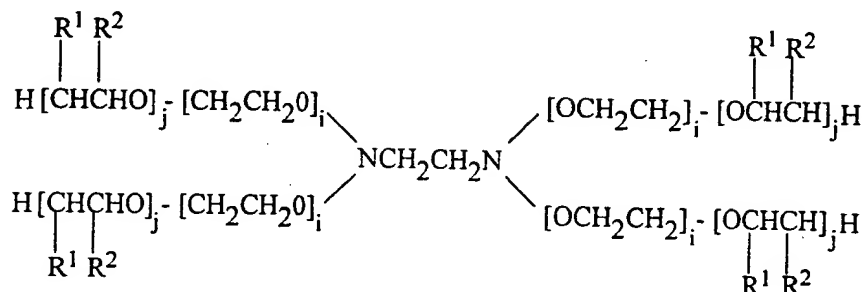
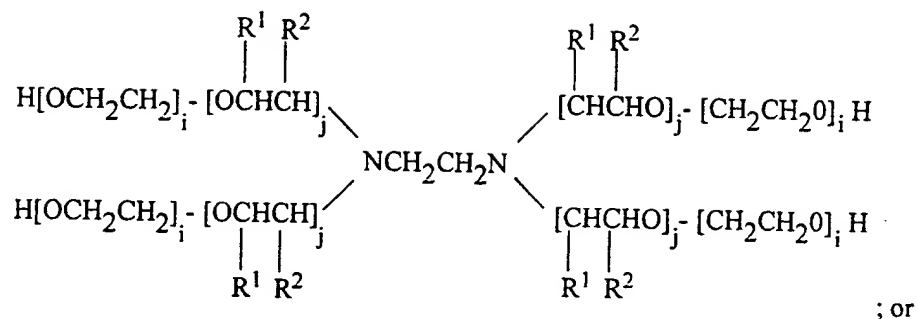




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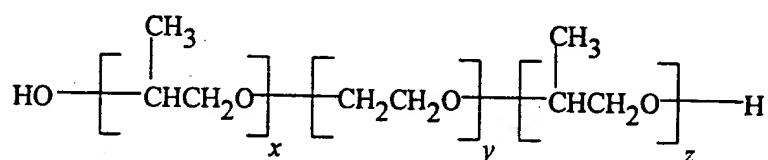
in which x , y , z , i , and j have values from about 2 to about 800, and wherein for each R^1 , R^2 pair, one is hydrogen and the other is a methyl group.

In another preferred embodiment, the invention relates to compositions for the delivery of a biologically active agent, or derivative thereof, comprising a
10 biologically active agent, or derivative thereof, and a POE-POP block copolymer of the formula:



wherein for each R^1, R^2 pair, one is hydrogen and the other is a methyl group.

In yet another preferred embodiment, the invention relates to compositions for the delivery of a biologically active agent, or derivative thereof comprising a biologically active agent, or derivative thereof, and a POE-POP block copolymer of the formula:



in which x, y, and z have values from about 2 to about 800.

In still another preferred embodiment, the invention relates to compositions comprising at least one block copolymer with ethylene(oxide) content of 50% or less, and at least one block copolymer with ethylene(oxide) content of 50% or more, and a biologically active agent. The ratio by weight of the block copolymer with ethylene(oxide) content of 50% or less to the block copolymer with ethylene(oxide) content of 50% or more is 1:2, more preferably 1:5.

The protein, peptide or derivative thereof may be preferably, for example, an immunomodulator, cytokine, hormone, enzyme, tissue plasminogen activator, clotting factor, colony stimulating factor, neuropeptide, recombinant soluble receptor, monoclonal antibody, or erythropoietin. Preferred hormones include
5 human growth hormone, and insulin.

The invention also relates to methods of treating a mammal using these compositions.

The invention also relates to compositions for oral delivery which comprise mixtures of at least one block copolymer with ethylene(oxide) content of *less* than
10 50% (*i.e.*, hydrophobic copolymer), and at least one block copolymer with ethylene(oxide) content of *more* than 50% (*i.e.*, hydrophilic copolymer). Preferably, these will be in a ratio of 2 hydrophilic copolymers to 1 hydrophobic copolymer, and more preferably in a ratio of 5 hydrophilic copolymers to 1 hydrophobic copolymer, and even more preferably in a ratio of 10 hydrophilic
15 copolymers to 1 hydrophobic copolymer.

BRIEF DESCRIPTION OF THE DRAWINGS

Figs. 1A and 1B show the inhibition of doxorubicin-resistant MCF7 cells incubated with various concentrations of doxorubicin and Pluronic L61.

Figure 2 shows the kinetics of daunorubicin accumulation for SK-resistant
20 cells or SK cells, respectively, treated with daunorubicin in free or micellar form.

Figure 3 shows a comparison of the blood concentration of [3H]-Pluronic P85 administered *i.v.*, or orally.

DETAILED DESCRIPTION OF THE INVENTION

25 Definitions

The terms or phrases listed below shall have the following meaning:

- 5 Biological agent: An agent that is useful for diagnosing or imaging or that can act on a cell, organ or organism, including but not limited to drugs (pharmaceuticals) to create a change in the functioning of the cell, organ or organism. Such agents can include but are not limited to peptides and polypeptides, nucleic acids, polynucleotides, antibacterial agents, antiviral agents, antifungal agents, anti-parasitic agents, tumoricidal or anti-cancer agents, proteins, toxins, enzymes, hormones, neurotransmitters, glycoproteins, immunoglobulins, immunomodulators, dyes, radiolabels, radio-opaque compounds, fluorescent compounds, polysaccharides, cell receptor binding molecules, anti-inflammatories, anti-glaucomic agents, mydriatic compounds and local anesthetics, and biological agents that act on cells of the central nervous system or diseases of the central nervous system.
- 10
- 15 · Central nervous system agents: Biological agents that act on cells of the central nervous system or diseases of the central nervous system.
- 20 · Chemotherapeutic agent: A biological agent that inhibits the growth or decreases the survival of neoplastic or pathogenic microbial cells or inhibits the propagation (which includes without limitation replication, viral assembly or cellular infection) of a virus.
- 25 · Hydrophobe percentage: The percentage of the molecular weight of a block copolymer that is made up of B-type blocks.
- Hydrophobe weight: The molecular weight contribution of the B-type blocks of a block copolymer.
- IC₅₀: The concentration at which 50% cytotoxicity is obtained. Cytotoxicity can be measured by the method of Alley *et al.*, *Cancer Res.* 48: 589-601, 1988 or Scudiero *et al.*, *Cancer Res.*, 48:4827, 1988. In particular, it can be measured based on the drug

concentration at which a 50% reduction in the activity of mitochondrial enzymes is observed.

5 · IC₉₅: The concentration at which 95% cytotoxicity is obtained. Cytotoxicity can be measured by the method of Alley *et al.*, or Scudiero *et al.*, above. In particular, it can be measured based on the drug concentration at which a 95% reduction in the activity of mitochondrial enzymes is observed.

10 · Lipophilic moiety: A lipophilic substituent that is joined to a targeting moiety and that partitions into the lipophilic portion of copolymer micelles.

· Microbe: A bacteria, mycoplasma, yeast or fungi, virus or parasite (such as a malaria parasite).

· MDR: The phenomenon of simultaneous resistance to unrelated biological agents.

15 · Targeting moiety: A molecular structure that is recognized by a cellular, tissue, viral or substratum component such as a cell surface receptor or acceptor molecule.

20 It will be understood that the copolymer characteristics described below are suitable for the oral delivery of the compositions of both the targeting embodiments of the invention and the brain chemotherapy embodiments of the invention.

25 The present invention relates among other things to pharmaceutical compositions and methods for biological agents particularly those, whose target cells or tissues are resistant to the biological agent. Multidrug resistance (MDR) describes the phenomenon of simultaneous resistance to unrelated biological agents. It has been associated with overexpression of membrane proteins belonging to the superfamily of the ATP-binding cassette (ABC) proteins. See Ling, *Cancer Chemother. Pharmacol.*, 40 Suppl: S3-S8 (1997); Brown *et al.*,

Proc. Natl. Acad. Sci., USA, 92: 5421-5425 (1995). ABC/MDR-associated protein (MRP), and lung resistance-related protein (LRP), have been identified in a variety of prokaryotic and eukaryotic cells. See Den-Boer *et al.*, *Leukemia* 11:1078-1085 (1997); Davey *et al.*, *Leuk. Res.*, 20: 657-664 (1996); Furuya *et al.*,
5 *Leuk. Res.* 20: 657-664 (1996). Furuya *et al.* *Cancer-Res.* 57: 3708-3716 (1997). It is believed that human gene contains a minimum of 200 ABC transporter superfamily members. See Ling *Cancer Chemother. Pharmacol.* 40 Supp.: S3-S8 (1997). Members of the glycoprotein P family of membrane proteins are believed to be responsible for the multidrug resistance of many of the tumors whose
10 resistance can be reversed using the composition of the invention. See Goldstein *et al.*, *Cancer treatment Res.*, 57: 101-119 (1991). These proteins are believed to function as pumps that export the biological agent against which the tumors have become resistant. Members of the same protein family are believed to reside in the membranes of the endothelial cells lining blood vessels in the brain and to be
15 responsible for the "blood-brain barrier" (BBB) function that excludes effective amounts of many biological agents from entering the brain. See for example, Tatsuta *et al.*, *J. Biol. Chem.* 267: 20383-20391.

Compositions of the present invention can be used to enhance drug permeability into the brain, as discussed in more detail in U.S. Application Serial
20 No. 08/478,979 filed June 7, 1995 and entitled "Compositions for Targeting Biological Agents", the contents of which are incorporated herein by reference. Members of this protein family are believed also to control the permeability of intestinal epithelium cells with respect to numerous biological agents (Thiebault *et al.*, *Proc. Natl. Acad. Sci., USA*, 84: 7735 (1987), including peptides (Nerurkar *et al.*, *Pharm. Res.*, 13: 528 (1996). Further, members of this protein family are
25 believed to be responsible for drug resistance in certain *Candida*, malaria and other microbial infections. Overexpression of the human MRP causes a form of multidrug resistance similar to that conferred by glycoprotein-P. MRP is believed to be a primary active transporter of a structurally diverse range of organic anionic
30 conjugates. A variety of chemosensitizing agents have been described that can interfere with glycoprotein-P and MRP function and it is believed that such agents

may improve the efficacy of conventional therapy when used in combination with such regimens. See *Linn Cancer Chemother. Pharmacol.*, 40 Suppl: S3-S8 (1997).

Without wishing to be bound to a particular theory, it is believed that the
5 compositions of the invention reverse efflux mechanisms mediated by members of
the glycoprotein-P family and other drug resistance mechanisms, particularly (but
not limited to) those associated with overexpression of ABC/MDR-related
proteins. This results in improved performance of the biological agents, including
but not limited to improved delivery of the biological agents to a target cell or
10 tissue, increased permeability of the biological barriers separating such target cells
and tissues, and inhibition of mechanisms eliminating the biological agents from
the target cells or tissue, in one specific embodiment the current invention
provides for the compositions for improved oral bioavailability of biological
agents that are affected by ABC/MDR-related membrane proteins. In another
15 specific embodiment the current invention provides for the improved brain
delivery of such biological agents, in another embodiment the current invention
provides for the improved compositions of biological agents having decreased
cellular accumulation as a result of mechanisms associated with ABC/MDR-
related membrane proteins. In yet another specific embodiment the current
20 invention provides for improved chemotherapeutic compositions effective against
MDR cancers. In yet another specific embodiment the current invention provides
for improved chemotherapeutic compositions for treatment of brain tumors. In yet
another specific embodiment the current invention provides for improved
compositions for CNS agents.

25 Recent observations described in more detail in U.S. Application No.
08/478,978 filed June 7, 1995, entitled "Biological Agent Compositions", the
contents of which are incorporated herein, demonstrate the effectiveness of the
block copolymers of the invention in enhancing the potency of chemotherapeutic
drugs and reversing drug resistance is highly dependent (a) on the hydrophobe
30 percentage and (b) on the hydrophobe weight. The effectiveness increases with
either an increase in the percentage (a) or an increase in weight (b), or both. These

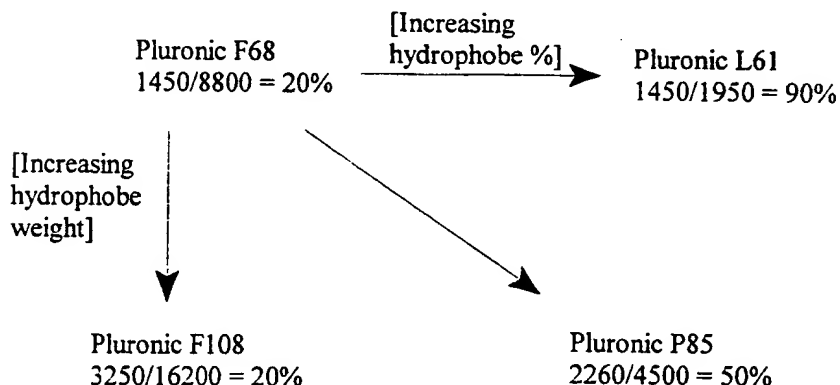
hydrophobe percentage and hydrophobe weight increases also correlate with improved micelle formation properties wherein micelle formation for these copolymers occurs at lower concentrations. See, Hunter *et al.*, *Macromolecules* 26: 5030 (1993); Hunter *et. al.*, *Macromolecules* 26: 5592 (1993); Alexandris *et.*
5 *al.*, *Macromolecules* 27: 2414 (1994).

While not wishing to be limited to a particular theory, it is believed that micelle formation serves as a surrogate for measuring the physical properties that lead to improved biological agent delivery properties. Again, not wishing to be limited to a particular theory, it is believed that it is not micelles *per se* that lead to
10 improved biological agent efficiency and reversion of multidrug resistance.

The multidrug resistant cell line which expresses high levels of glycoprotein P (Gervasoni, *et al.*, *Cancer Research*, 51, 4955 (1991) can be used to evaluate the effects of the block copolymers on biological active agents. MDR drugs such as daunorubicin or rhodamine 123 can serve as probes for the effects on the
15 membrane proteins associated with MDR in cancer and normal cells (Jancis, *et al.*, *Mol. Pharmacol.*, 43, 51 (1993); Lee, *et al.*, *Mol. Pharmacol.*, 46, 627 (1994). The results with these probes are indicative of the effects on the transport of all MDR class drugs.

If, using doxorubicin as a model biological agent, the ratio of (a) the IC_{50} (a
20 measure of effective cytotoxicity concentration) for a copolymer-containing composition to (b) the IC_{50} for free doxorubicin is plotted against the concentration of copolymer, the plot is biphasic, with a rapid decrease in the ratio seen as copolymer concentrations increase but remain under the CMC of the copolymer. Above the CMC, a rapid leveling off of the ratio is observed.
25 Maximal enhancement of biological agent activity occurs above the CMC, although enhancement activity is seen at concentrations, for the copolymer Pluronic L61, as low as 0.0001 % wt/vol., or less. The micellar form is also believed to be important to using the copolymers in drug delivery for other reasons, as will be discussed below.

The schematic below is helpful in understanding the relationship between the hydrophobe percentage and the hydrophobe weight of a copolymer and various aspects of the present invention. In the schematic, the weight of the hydrophobe (poly(oxypropylene)) and of the copolymer are shown directly under each identified copolymer. Adjacent to these values are the hydrophobe percentage values for each copolymer.



10 Pluronic F68 has been determined to have only a modest activity in enhancing the potency of biological agents. Pluronic L61, which has the same hydrophobe weight as Pluronic F68 but a much higher hydrophobe percentage, is generally the most effective of the block copolymers identified in the schematic. Pluronic F108, which has the same hydrophobe percentage as Pluronic F68 but a much
15 higher hydrophobe weight, is also an effective copolymer, though much less effective than Pluronic L61. Pluronic P85 has a greater hydrophobe weight and a greater hydrophobe percentage than Pluronic F68, but the difference in each value is less than it is for Pluronic F108 and L61, respectively. The effectiveness of Pluronic P85 in enhancing the potency of biological agents is intermediate
20 between the effectiveness of Pluronic F108 and of Pluronic L61. These differences in effectiveness are exemplified when various copolymers, at a concentration above CMC, and doxorubicin are incubated *in vitro* with drug resistant cells. The ratio of the IC50 value for doxorubicin in the absence of

copolymer to the ratio in the presence of copolymer is the "resistance reversion index." The resistance reversion index values for various copolymers are:

Doxorubicin formulation	IC ₅₀ , ng/ml	Resistance reversion index
free drug	60,000	n.a.
+ 5% (w/v) Pluronic F68	60,000	1
0.01% (w/v) Pluronic F108	10,000	6
0.01% (w/v) Pluronic P85	2,000	30
0.01% (w/v) Pluronic L61	60	1000

- 5 The importance of the micellar form in delivering biological agents is also revealed in *in vivo* experiments. In the micellar form, biological agents are located in the hydrophobic core of the micelles, thereby masked by the hydrophilic shell (composed of A-type segments) surrounding the micelles. This masking decreases interactions with liver, plasma proteins, other non-target tissues and
- 10 other molecules that can bind or inactivate the agent or convert the agent to a toxic metabolite. For example, rapid metabolism of anthracycline antibiotics by the liver leads to the formation of cardiotoxic metabolites that are modified at the C13 position. See, Mushlin, *et al.*, *Br. J. Pharmacol.*, 110: 975-982 (1993). Using doxorubicin as a model drug, the micellar form decreases liver uptake, decreases
- 15 conversion to doxorubicinol, and decreases the rate at which the concentration of doxorubicin in the blood decreases.

The effectiveness of copolymers in (a) forming micelles (where greater effectiveness is measured in reduced CMCS) and (b) favoring the partitioning of various biological agents to the micellar rather than the free form of various

20 biological agents increases according to the same pattern. Thus, the hierarchy of effectiveness is again L61 > P85 > F108 >> F68. The presence of micelles at low concentrations is believed to help assure, assuming that biological agent remains associated with the micelles, that the biological agent and the copolymer arrive together at a target tissue. Partitioning coefficients that favor the micellar form

25 help assure that the assumption that the biological agent remains associated with

micelles will hold true. The micellar form of the biological agent is also believed to protect the biological agent from uptake by non-target tissues, which tissues may metabolize the biological agent into an ineffective or toxic metabolite, and non-specific adsorption to blood components, cellular components and the like.

- 5 At high concentrations, block copolymers can be toxic to the liver, kidney or other cells of a subject. See, *BASF Corp., Pluronic Material Safety Data Sheet and Drug Master Files*. The toxicity of block copolymers increases with the hydrophobicity parameters of block copolymers according to the same pattern seen for increases in effectiveness in potentiating biological agents. Fortunately,
- 10 the rate of increase in potency as these hydrophobicity parameters change is much greater than the increase in copolymer toxicity. For instance, as illustrated in Example 20, the LD₅₀ of L61 in BALB/c mice is 10-fold lower than the LD₅₀ of Pluronic F108. However, the difference in the optimal therapeutic dose is more than 100 -fold improved for Pluronic L61 vs. Pluronic F108. (See Example 14.)
- 15 Thus, the concentration range over which effectiveness in potentiating the activity of a biological agent can be maintained while avoiding toxicity due to copolymer is increased for Pluronic L61 vs. Pluronic F108.

- The compositions of the invention are intended to include either preformed micelles with a substantial portion of the biological agent incorporated therein, or
- 20 copolymer compositions which form micelles with a substantial portion of the agent dissolved therein during the course of the administration of the biological agent to a patient, or subsequent thereto. For the targeting embodiment of the invention, the targeting moiety will either be pre-associated with micelles or will associate with micelles during the course of administration. Particularly preferred
- 25 block copolymers are those that have low CMC values in isotonic solutions at physiological temperatures. Such block copolymers will maintain a micellar delivery vehicle for biological agents even after substantial dilution into a physiological fluid such as a treatment subject's blood. Such low CMC values allow for the use of reduced levels of block copolymers in the drug composition of
- 30 the invention.

The invention is described below with reference to the fragmental constants developed by Hansch and Leo. See Hansch and Leo, *Substituent Constants for Correlation Analysis in Chemistry and Biology*, Wiley, New York, 1979; James, *Solubility and Related Properties*, Marcel Dekker, New York, 1986, pp. 320-325.

5 These constants were developed for use in estimating the contribution of a portion of a molecule to the tendency of the molecule to partition between the phases formed by octanol-water mixtures. These constants are generally referred to as Hansch-Leo fragmental partition constants (hereinafter "Hansch-Leo fragmental constants").

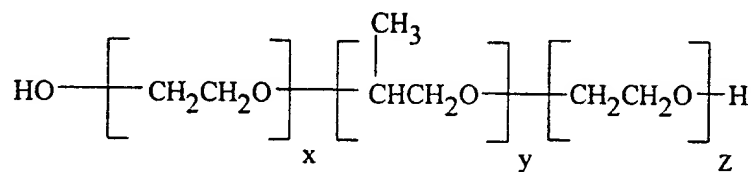
10 The entire disclosure of U.S. Application No. 08/478,978 filed June 7, 1995, entitled, "Biological Agent Compositions", filed on June 7, 1995 is incorporated herein by reference, as is the entire disclosure of U.S. Patent Application No. 08/054,403, filed April 28, 1993.

The number of repeating units of the total hydrophilic (A-type) blocks or the
15 total hydrophobic (B-type) blocks for a polyether copolymer are preferably between about 2 and about 800. More preferably, the number of repeating units is between about 4 and about 200, still more preferably, between about 5 and about 80. The repeating units that comprise the blocks, for A-type and B-type blocks, will generally have molecular weight between about 30 and about 500, preferably
20 between about 30 and about 100, still more preferably between about 30 and about 60. Generally, in each of the A-type or B-type blocks, at least about 80% of the linkages between repeating units will be ether linkages, preferably, at least about 90% will be ether linkages, more preferably, at least about 95% will be ether linkages. Ether linkages, for the purposes of this application, encompass
25 glycosidic linkages (*i.e.*, sugar linkages). However, in one aspect, simple ether linkages are preferred.

Preferably, all of the repeating units that comprise A-type blocks have a Hansch-Leo fragmental constant of less than about -0.4, more preferably, less than about -0.5, still more preferably, less than about -0.7. Preferably, all of the

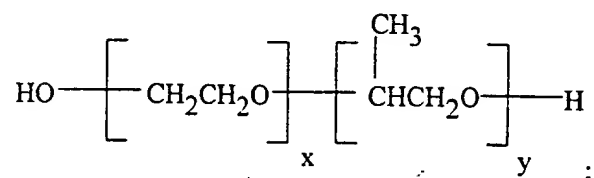
repeating units that comprise B-type blocks have a Hansch-Leo fragmental constant of about -0.30 or more, more preferably about -0.20 or more.

Polymers according to the first embodiment of the invention are exemplified by the block copolymers having the formulas:

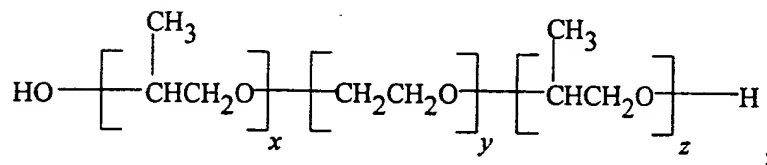


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(V)

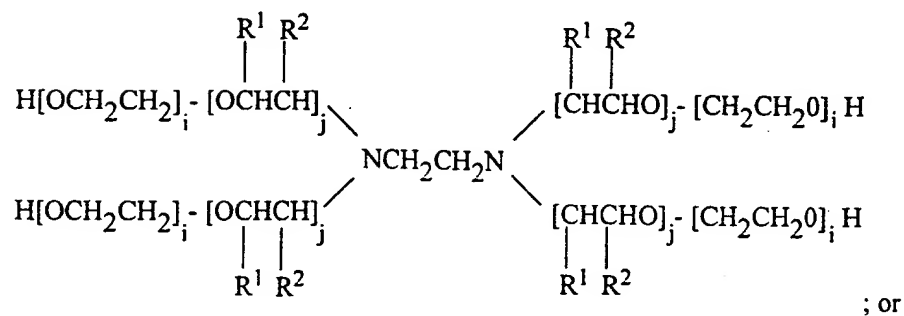


(VI)



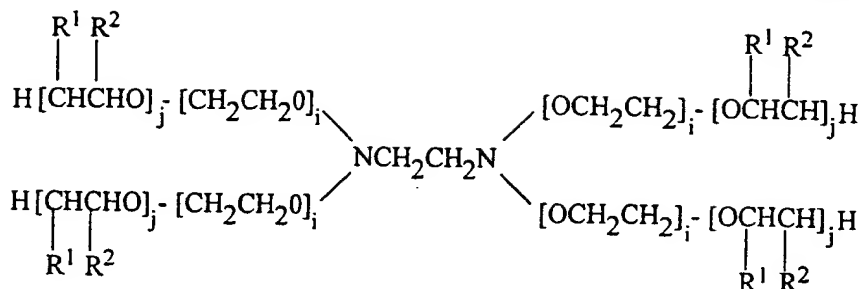
10

(VII)



15

(VIII)



(VIV)

in which x , y , z , i , and j have values from about 2 to about 800, preferably from about 5 to about 200, more preferably from about 5 to about 80, and wherein for each R^1 , R^2 pair, one is hydrogen and the other is a methyl group. Formulas (V) through (VII) are oversimplified in that, in practice, the orientation of the isopropylene radicals within the B block will be random. This random orientation is indicated in formulas (VIII) and (VIV), which are more complete. Such poly(oxyethylene)poly(oxypropylene) compounds have been described by Santon,
 10 *Am. Perfumer Cosmet.*, 72(4):54-58 (1958); Schmolka, *Loc. cit.* 82(7):25-30 (1967); *Non-ionic Surfactants*, Schick, ed. (Dekker, N.Y., 1967), pp. 300-371. A number of such compounds are commercially available under such generic trade names as "lipoloxamers", "pluronics" and "synperonics." Pluronic polymers within the B-A-B formula are often referred to as "reversed" pluronics, "pluronic
 15 R" or "meroxapol."

The "polyoxamine" polymer of formula (VIII) is available from BASF (Wyandotte, MI) under the tradename Tetronic™. The order of the polyoxyethylene and polyoxypropylene blocks represented in formula (VIII) can be reversed, creating Tetronic R™, also available from BASF. See, Schmolka, *J.*
 20 *Am. Oil. Soc.*, 59:110 (1979). Polyoxypropylene-polyoxyethylene block copolymers can also be designed with hydrophilic blocks comprising a random mix of ethylene oxide and propylene oxide repeating units. To maintain the hydrophilic character of the block, ethylene oxide will predominate. Similarly, the hydrophobic block can be a mixture of ethylene oxide and propylene oxide

repeating units. Such block copolymers are available from BASF under the tradename Pluradot™.

The hydrophobic/hydrophilic properties of a given block copolymer depends upon the ratio of the number of oxypropylene groups to the number of oxyethylene groups. For a composition containing a single block copolymer of poly(oxyethylene)-poly(oxypropylene), for example, this relationship, taking into account the molecular masses of the central hydrophobic block and the terminal hydrophilic blocks, can be expressed as follows:

$$n = \frac{H}{L} \cdot 1.32$$

in which H is the number of oxypropylene units and L is the number of oxyethylene units. In the general case of a block copolymer containing hydrophobic B-type segments and hydrophilic A-type segments, the hydrophobic-hydrophilic properties and micelle-forming properties are related to the value n as defined as:

$$n = (|B|/|A|) \times (b/a)$$

where $|B|$ and $|A|$ are the number of repeating units in the hydrophobic and hydrophilic blocks of the copolymer, respectively, and b and a are the molecular weights for the respective repeating units.

Selecting a block copolymer with the appropriate n value depends upon the hydrophobic/hydrophilic properties of the specific agent, or the composite hydrophilic/hydrophilic properties of a mixture of agents to be formulated. Typically, n will range in value from about 0.2 to about 9.0, more preferably between about 0.25 and about 1.5. This range should be viewed not as numerically critical but as expressing the optimum hydrophobic/hydrophilic balance between the predominantly hydrophilic poly(oxyethylene) blocks, and the predominantly hydrophobic poly(oxypropylene) blocks.

An important aspect of the present invention-involves utilizing mixture of different block-copolymers of poly(oxyethylene)-poly(oxypropylene) to achieve a

- more specific hydrophobic-hydrophilic balance suitable for a given cytokine or mixture of several cytokines, preserving the optimal size of particles. For example, a first block copolymer may have an n of 1.0 whereas a second may have a value of 1.5. If material having an n of 1.3 is desired, a mixture of one weight
- 5 portion of the first block copolymer and 1.5 weight portion of the second block-copolymer can be employed.

Thus, a more generalized relationship for such mixtures can be expressed as follows:

$$N = 1.32 \cdot \left[\frac{H_1 \cdot m_1}{(L_1) \cdot (m_1 + m_2)} + \frac{H_2 \cdot m_2}{(L_2) \cdot (m_1 + m_2)} \right]$$

- 10 in which H_1 and H_2 are the number of oxypropylene units in the first and second block copolymers, respectively; L_1 is the number of oxyethylene units in the first block copolymer; L_2 is the number of oxyethylene units in the second block copolymer; m_1 is the weight proportion in the first block-copolymer; and m_2 is the weight proportion in the second block copolymer.

- 15 An even more general case of a mixture of K block copolymers containing hydrophobic B-type block copolymers and hydrophilic A-type block copolymers, the N value can be expressed as follows:

$$N = \frac{b}{a} \sum_{i=1}^k \left(\frac{|B|_i}{|A|_i}, \frac{m_i}{M} \right)$$

- where $|A|_i$ and $|B|_i$ are the numbers of repeating units in the hydrophilic (A-type) and hydrophobic (B-type) blocks of the i -th block copolymer, m is the weight proportion of this block copolymers, M is the sum of weight proportions of
- 20 all block copolymers in the mixture ($M = \sum_{i=1}^k m_i$), and a and b are the molecular weights for the repeating units of the hydrophilic and hydrophobic blocks of these block copolymers respectively.

If only one block copolymer of poly(oxyethylene)-poly(oxypropylene) is utilized, N will equal n . An analogous relationship will apply to compositions employing more than two block copolymers of poly(oxyethylene)-poly(oxypropylene).

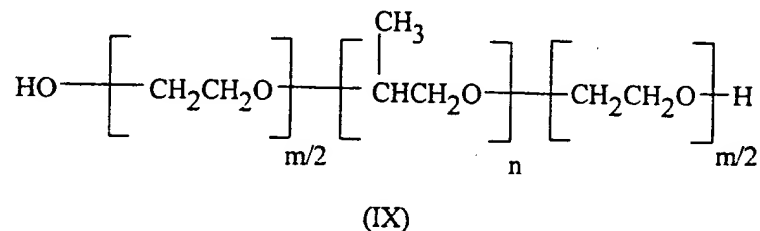
- 5 Where mixtures of block copolymers are used, a value N will be used, which value will be the weighted average of n for each contributing copolymers, with the averaging based on the weight portions of the component copolymers. The value N can be used to estimate the micelle-forming properties of a mixture of copolymers. The use of the mixtures of block copolymers enhances solubility and
- 10 prevents aggregation of more hydrophobic block copolymers in the presence of the serum proteins. Particularly, poly(oxyethylene)-poly(oxypropylene) block copolymers with the ethylene oxide content of more than 50% solubilize hydrophobic block copolymers with ethylene oxide content of no more than 50%. In such mixtures, the preferred ratio of the hydrophilic and hydrophobic
- 15 copolymer is at least 2:1 (w/w), preferably at least 5:1 (w/w), still more preferably at least 8:1 (w/w)." When copolymers other than polyethylene oxide-polypropylene oxide copolymers are used, similar approaches can be developed to relate the hydrophobic/hydrophilic properties of one member of the class of polymers to the properties of another member of the class.
- 20 Using the above parameters, one or more block copolymers of poly(oxyethylene)-poly(oxypropylene) are combined so as to have a value for N of from about 0.1 to about 9, more preferably from about 0.25 to about 1.5. The combined copolymers form micelles, the value of N affecting in part the size of - the micelles thus produced. Typically the micelles will have an average diameter
- 25 of from about 10 to about 25nm, although this range can vary widely. The average diameter of any given preparation can be readily determined by quasi-elastic light scattering techniques.

For more effective solubilization of some cytokines, for example, their point modification with fatty acid residues that act as hydrophobic anchors during

30 incorporation of such agents into block copolymer micelles is required. For some

cytokines, the incorporation into the micelles formed by the block-copolymer is achieved through the covalent conjugation of the cytokine and block copolymer. Various methods of such conjugation are used. These include cross-linking of the drug directly to an activated terminal group of a block copolymer of conjugation
 5 via a spacer groups using various heterobifunctional agents.

A number of pluronics are designed to meet the following formula:



Of course, the ordinarily skilled artisan will recognize that the values of m
 10 and n will usually represent a statistical average and that the number of repeating units of the first block of a given molecule will generally not be exactly the number of repeating units of the third block. The characteristics of a number of pluronics, described with reference to formula (IX), are as follows:

Copolymer	Hydrophobe weight	CMC (% w/v)	Hydrophobe percentage
Pluronic L61	1750	0.0003	90
Pluronic L64	1750	0.002	60
Pluronic F68	1750	4-5	20
Pluronic P85	2250	0.005 - 0.007	50
Pluronic F127	4000	0.003 - 0.005	30
Pluronic F108	3250	0.0035 - 0.007	20

These CMC values were determined by the surface tension method described
 15 in Kabanov *et al.*, *Macromolecules* 28: 2303-2314 (1995).

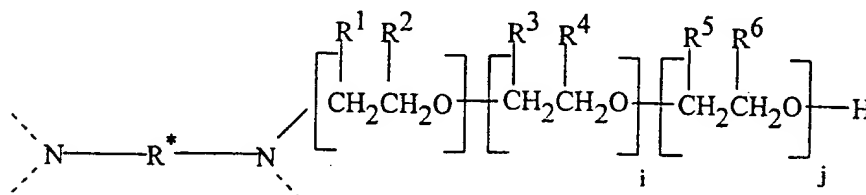
Additional specific poly(oxyethylene)-poly(oxypropylene) block copolymers relevant to the invention include:

Pluronic	Hydrophobe Weight	Hydrophobe Percentage
L31	950	90%
F35	950	50%
L42	1200	80%
L43	1200	70%
L44	1200	60%
L61	1750	90%
L62	1750	80%
L63	1750	70%
P65	1750	50%
F68	1750	20%
L72	2050	80%
P75	2050	50%
L81	2250	90%
P84	2250	60%
P85	2250	50%
F87	2250	30%
F88	2250	20%
L92	2750	80%
F98	2750	20%
Pluronic (cont'd)	Hydrophobe Weight	Hydrophobe Percentage
P103	3250	70%
P104	3250	60%
P105	3250	50%
F108	3250	20%
L121	4000	90%
L122	4000	80%
L123	4000	70%
F127	4000	30%
10R5	1000	50%
10R8	1000	20%
12R3	1200	70%
17R2	1700	80%
17R2	1700	80%
17R4	1700	60%
17R8	1700	20%
22R4	2200	60%
25R1	2500	90%
25R2	2500	80%

25R4	2500	60%
25R5	2500	50%
25R8	2500	50%
31R1	3100	90%
31R2	3100	80%
31R4	3100	60%

*All copolymers above this conform to formula (IX), this copolymer and those below conform to formula (VII).

The diamine-linked pluronic of formula (VIII) can also be a member of the family of diamine-linked polyoxyethylene-polyoxypropylene polymers of formula:



(X)

wherein the dashed lines represent symmetrical copies of the polyether extending off the second nitrogen, R* an alkylene of about 2 to about 6 carbons, a cycloalkylene of about 5 to about 8 carbons or phenylene, for R¹ and R², either (a) both are hydrogen or (b) one is hydrogen and the other is methyl, for R³ and R⁴ either (a) both are hydrogen or (b) one is hydrogen and the other is methyl, if both of R³ and R⁴ are hydrogen, then one R⁵ and R⁶ is hydrogen and the other is methyl, and if one of R³ and R⁴ is methyl, then both of R⁵ and R⁶ are hydrogen.

The -NH₂-CH₂CH₂-NH₂- group of formula (VIII) and the N-R*-N group of formula (X) are examples of linking groups, L, of formula (IV).

Those of ordinary skill in the art will recognize that even when the practice of the invention is confined for example, to poly(oxyethylene)-poly(oxypropylene) compounds, the above exemplary formulas are too confining. An important
20 feature is that the average Hansch-Leo fragmental constant of the monomers in an

A-type block be about -0.4 or less. Thus, the units making up the first block need not consist solely of ethylene oxide. Similarly, not all of the B-type block need consist solely of propylene oxide units. Instead, the blocks can incorporate monomers other than those defined in formulas (V)-(X), so long as the parameters of the first embodiment are maintained. Thus, in the simplest of examples, at least one of the monomers in block A might be substituted with a side chain group as previously described.

In another aspect, the invention relates to a drug composition made up of a block copolymer at least one of formulas (I)-(X), wherein the A-type and B-type blocks are substantially made up of repeating units of formula $-O-R^5$, where R^5 is:

(1) $-(CH_2)_n-CH(R^6)-$, wherein n is zero or an integer from about 1 to about 5 and R^6 is hydrogen, cycloalkyl having about 3 to about 8 carbon atoms, alkyl having about 1 to about 6 carbon atoms, phenyl, alkylphenyl wherein the alkyl has about 1 to about 6 carbon atoms, hydroxy, hydroxyalkyl, wherein the alkyl has about 1 to about 6 carbon atoms, alkoxy having about 1 to about 6 carbon atoms, an alkyl carbonyl having about 2 to about 7 carbon atoms, alkoxycarbonyl, wherein the alkoxy has about 1 to about 6 carbon atoms, alkoxycarbonylalkyl, wherein the alkoxy and alkyl each independently has about 1 to about 6 carbon atoms, alkylcarboxyalkyl, wherein each alkyl independently has about 1 to about 6 carbon atoms, aminoalkyl wherein the alkyl has about 1 to about 6 carbon atoms, alkylamine or dialkylamino, wherein each alkyl independently has about 1 to about 6 carbon atoms, mono- or di-alkylaminoalkyl wherein each alkyl independently has about 1 to about 6 carbon atoms, chloro, chloroalkyl wherein the alkyl has from about 1 to about 6 carbon atoms, fluoro, fluoroalkyl wherein the alkyl has from about 1 to about 6 carbon atoms, cyano or cyano alkyl wherein the alkyl has from about 1 to about 6 carbon atoms or carboxyl;

(2) a carbocyclic group having about 3 to about 8 ring carbon atoms, wherein the group can be for example, cycloalkyl or aromatic groups, and which can include alkyl having about 1 to about 6 carbon atoms, alkoxy having about 1 to about 6 carbon atoms, alkylamino having about 1 to about 6 carbon atoms,

dialkylamino wherein each alkyl independently has about 1 to about 6 carbon atoms, amino, sulfonyl, hydroxy, carboxyl, fluoro or chloro substitutions, or

(3) a heterocyclic group, having about 3 to about 8 ring atoms, which can include heterocycloalkyl or heteroaromatic groups, which can include from about 1 to about 4 heteroatoms selected from the group consisting of oxygen, nitrogen, sulfur and mixtures thereto, and which can include alkyl having about 1 to about 6 carbon atoms, alkoxy having about 1 to about 6 carbon atoms, alkylamino having about 1 to about 6 carbon atoms, dialkylamino wherein each alkyl independently has about 1 to about 6 carbon atoms, amino, sulfonyl, hydroxy, carboxyl, fluoro or chloro substitutions.

Preferably, n is an integer from about 1 to about 3. The carbocyclic or heterocyclic groups comprising R preferably have from about 4 to about 7 ring atoms, more preferably about 5 to about 6. Heterocycles preferably include from about 1 to about 2 heteroatoms, more preferably, the heterocycles have one heteroatom. Preferably, the heterocycle is a carbohydrate or carbohydrate analog.

Those of ordinary skill will recognize that the monomers required to make these polymers are synthetically available. See, Vaughn *et al.*, *J. Am. oil Chem. Soc.* 28: 294 (1951). In some cases, polymerization of the monomers will require the use of suitable protective groups, as will be recognized by those of ordinary skill in the art. Generally, the A and B-type blocks are at least about 80% comprised of $-OR^5-$ repeating units, more preferably at least about 90%, yet more preferably at least about 95%.

In another aspect, the invention relates to a drug composition made up of a block copolymer of one of formulas (I)-(X) wherein the A-type and B-type blocks consist essentially of repeating units of formula $-OR^7-$, wherein R^7 is a C_1 to C_6 alkylene group.

The Hansch-Leo estimate of the octanol-water partitioning coefficient (P) for an organic molecule is calculated by the following formula:

$$\text{Log } P = a_n f_n + \sum b_m F_m$$

5

where the f_n values are the fragmental constants for the different groups in the molecule, the a_n values are the number of any type of group in the molecule, the F_m values are factors for certain molecular features such as single bonds or double bonds, and the b_m values are the number of any such molecular feature.

10 For instance, the Hansch-Leo fragmental constant for an ethylene oxide repeating unit (-CH₂CHO-) would be:

$$2f_c + 4f_H + f_o + (4-1)F_b = 2(0.20) + 4(0.23) + (-1.82) + 3(-0.12) = 0.86$$

15

The Hansch-Leo fragmental constant for a propylene oxide (-CH₂CH(CH₃)O-) repeating unit would be:

$$2f_c + f_{CH_3} + 3f_H + f_o + (4-1)F_b = 2(0.2) + 0.89 + 3(0.23) + (-1.82) + 3(-0.12) = -0.2$$

20 Those of ordinary skill in the art will recognize that the Hansch-Leo approach to estimating partition constants, in which approach the Hansch-Leo fragmental constants are applied, does not yield precisely the empirical partition constant. See Hansch and Leo, *Substituent Constants for Correlation Analysis in Chemistry and Biology*, Wiley, New York, 1979; James, *Solubility and Related Properties*, 25 Marcel Dekker, New York, 1986, pp. 320-325. However, the approach is precise enough to define the hydrophobicity features of the polymeric delivery vehicle.

The block copolymers utilized in the invention will preferably form micelles in isotonic aqueous solutions at a physiological temperature having diameter from about 10nm to about 100nm. Micelles are supramolecular complexes of certain 30 amphiphilic molecules that form in aqueous solutions due to microphase

separation of the nonpolar portions of the amphiphiles. Micelles form when the concentration of the amphiphile reaches, for a given temperature, a CMC that is characteristic of the amphiphile. By varying the sizes of the hydrophilic and hydrophobic segments of the block copolymers, the tendency of the copolymers to
5 form micelles at physiological conditions, as well as the average size of the micelles formed at physiological conditions, can be varied. These tendencies can also be adjusted by blending copolymers with differing mixes of hydrophobic and hydrophilic blocks. The micelles have a dense core formed by the water insoluble repeating units of the B blocks and lipophilic portions of a biological agent
10 dissolved therein, and a hydrophilic shell formed by the A blocks and hydrophobic portions of the biological agent. The micelles have translational and rotational freedom in aqueous environment, and aqueous environments containing the micelles have low viscosity similar to water. Micelle formation typically occurs at copolymer concentrations from about 0.001 to 5% (w/v)

15 The small size of the micelles formed by block copolymers of the invention is believed to allow these micelles to penetrate in small capillaries and to be taken up by cells. The micelles also can incorporate large amounts of appropriate biological agents. For instance, micelles formed by Pluronic L61 can incorporate at least 1 mg of doxorubicin per 2 mg of copolymer.

20 The effective retention of a drug within the micelles of the invention can be quantified in terms of the partitioning coefficient (P) determined using formula:

$$P = [\text{Agent}]_m / [\text{Agent}]_{aq}$$

where $[\text{Agent}]_{aq}$ is the concentration of biological agent in an aqueous environment outside of the micelles and $[\text{Agent}]_m$ is the concentration of agent in
25 the micelles. In some cases, P is easily and accurately estimated based on the difference fluorescence properties of certain agents when in an aqueous vs. a more hydrophobic environment.

A minor portion of a targeting molecule made up of a targeting moiety coupled to a lipophilic moiety comprising a hydrocarbon having from about 3 to

about 41 carbon atoms is incorporated into the micelles of the compositions of the targeting embodiment of the invention. This portion typically comprises no more than about 10% w/w of the copolymer components of a composition. The lipophilic moieties are believed to act as hydrophobic "anchors", which are
5 incorporated non-covalently into the block-copolymer micelles so that the targeting moiety becomes part of, but extends beyond, the micelle. Such targeting moieties are preferably also incorporated into the micelles used in the brain chemotherapy embodiment of the invention. However, for the brain chemotherapy embodiment the lipophilic moiety can be any lipophilic moiety
10 effective to non-covalently associate the targeting moiety with the micelles. For the brain chemotherapy embodiment, the lipophilic moiety can be, for example a fatty acid residue, a lipid, phospholipid, or a natural or synthetic polymer. Because of availability and ease of use, lipophilic moieties containing hydrocarbon groups such as fatty acid residues are preferred.

15 The targeting moieties have affinity for a cellular, tissue, viral or substratum site. Typical targeting moieties include without limitation antibodies and hormones with affinity for a cellular binding component, any molecule containing a carbohydrate moiety recognized by a cellular binding component and drugs that bind to a cellular binding component. The phrase "binding component" includes
20 both receptor and acceptor molecules. Preferably, the binding component is a cell-surface binding component. Both polyclonal and monoclonal antibodies which are either available commercially or described in the literature can be employed. Alternatively the ligand can be a naturally occurring protein, such as insulin, that binds to a target site. A non-limiting example of a targeting moiety is
25 the anti- α_2 -GP antibody to brain glial cells (α_2 -glycoprotein) which is described by Slepnev *et al.*, *Bioconjugate Chem.* 3: 273-274 (1992).

To retain as much of the specificity of the polypeptide, preferably only one or two lipophilic moieties are bound to each polypeptide molecule. This binding can be achieved by the method described by Kabanov *et al.*, *Protein Engineering*, 3,
30 39-42 (1989), the contents of which are incorporated herein by reference. In this method the lipophilic moiety or a reactive analog thereof is reacted with the

targeting moiety in the presence of the surfactant sodium bis(2-ethylhexyl)sulfosuccinate {AOT[®]}, octane and a small amount of water will form reversed micelles, that is micelles with water on the inside and octane on the outside. These reversed micelles serve as microreactors allowing uniform point
5 modification of the polypeptide molecules with lipophilic moieties. Reactive derivatives of fatty acids such as stearyl chloride or lauroyl chloride can be reacted with polypeptides or other hydrophilic targeting moieties using this reaction system. Because the reaction system allows for the level of fatty acyl substitution to be limited, greater biological activity and solubility of the targeting
10 moiety is generally preserved.

Routes of Administration

Oral delivery is the preferred method of administration for the instant compositions. For oral administration, the compositions can be used in the form of tablets capsules, lozenges, troches, powders, syrups, elixirs, aqueous solutions
15 and suspensions, and the like. In the case of tablets, carriers that can be used include lactose, sodium citrate and salts of phosphoric acid. Various disintegrants such as starch, and lubricating agents such as magnesium stearate, sodium lauryl sulfate and talc, are commonly used in tablets. For oral administration in capsule form, useful diluents are lactose and high molecular weight polyethylene glycols.
20 When aqueous suspensions are required for oral use, the compositions can be combined with emulsifying and suspending agents. If desired, certain sweetening and/or flavoring agents can be added.

The pharmaceutical compositions of the invention can also be administered by a number of other routes, including without limitation, topically, rectally,
25 vaginally, by pulmonary route, for instance, by use of an aerosol, or parenterally, including but not limited to intramuscularly, subcutaneously, intraperitoneally, intra-arterially or intravenously. The compositions can be administered alone, or can be combined with a pharmaceutically-acceptable carrier or excipient according to standard pharmaceutical practice.

For parenteral administration, sterile solutions of the conjugate are usually prepared, and the pHs of the solutions are suitably adjusted and buffered. For intravenous use, the total concentration of solutes should be controlled to render the preparation isotonic. For ocular administration, ointments or droppable liquids
5 may be delivered by ocular delivery systems known to the art such as applicators or eye droppers. Such compositions can include mucomimetics such as hyaluronic acid, chondroitin sulfate, hydroxypropyl methylcellulose or poly(vinyl alcohol), preservatives such as sorbic acid, EDTA or benzylchromium chloride, and the usual quantities of diluents and/or carriers. For pulmonary administration,
10 diluents and/or carriers will be selected to be appropriate to allow the formation of an aerosol.

Suppository forms of the compositions of the invention are useful for vaginal, urethral and rectal administrations. Such suppositories will generally be constructed of a mixture of substances that is solid at room temperature but melts
15 at body temperature. The substances commonly used to create such vehicles include theobroma oil, glycerinated gelatin, hydrogenated vegetable oils, mixtures of polyethylene glycol of various molecular weights and fatty acid esters of polyethylene glycol. *See* Remington's Pharmaceutical Sciences, 16th Ed., Mack Publishing, Easton, PA, 1980, pp. 1530-1533 for further discussion of suppository
20 dosage forms. Analogous gels or creams can be used for vaginal, urethral and rectal administrations.

A variety of biological agents are suitable for use in the invention. These include, without limitation, proteins, peptides (e.g., oligopeptides and polypeptides) including cytokines, hormones (such as insulin), and the like,
25 recombinant soluble receptors, monoclonal antibodies, human growth hormones, tissue plasminogen activators, clotting factors, vaccines, colony stimulating factors, erythropoietins, enzymes, and dismutase.

Preferred classes of biological agents (including chemotherapeutic agents) include anti-neoplastic agents, antibacterial agents, antiparasitic agents, anti-fungal agents, CNS agents, immunomodulators and cytokines, toxins and
30

neuropeptides. Biological agents for which target cells tend to develop resistance mechanisms are also preferred. Particularly preferred biological agents include anthracyclines such as doxorubicin, daunorubicin, epirubicin, idarubicin, mithoxanthrone or carminomycin, vinca alkaloids, mitomycin-type antibiotics, 5 bleomycin-type antibiotics, azole antifungals such as fluconazole, polyene antifungals such as amphotericin B, taxane-related antineoplastic agents such as paclitaxel and immunomodulators such as tumor necrosis factor alpha (TNF- α), interferons and cytokines.

Preferred biological agents (including chemotherapeutic agents) include 10 without limitation additional antifungal agents such as amphotericin-B, flucytosine, ketoconazole, miconazole, itraconazole, griseofulvin, clotrimazole, econazole, terconazole, butoconazole, ciclopirox olamine, haloprogin, toinaftate, naftifine, nystatin, natamycin, undecylenic acid, benzoic acid, salicylic acid, propionic acid and caprylic acid. Such agents further include without limitation 15 antiviral agents such as zidovudine, acyclovir, ganciclovir, vidarabine, idoxuridine, trifluridine, foxcarnet, amantadine, rimantadine and ribavirin. Such agents further include without limitation antibacterial agents such as penicillin-related compounds including β -lactam antibiotics, broad spectrum penicillins and penicillinase-resistant penicillins (such as methicillin, nafcillin, oxacillin, 20 cloxacillin, dicloxacillin, amoxicillin, ampicillin, ampicillin-sulbactam, azocillin, bacampicillin, carbenicillin, carbenicillin indanyl, cyclacillin, mezlocillin, penicillin G, penicillin V, piperacillin, ticarcillin, imipenem and aztreonam), cephalosporins (cephalosporins include first generation cephalosporins such as cephapirin, cefaxolin, cephalexin, cephadrine and cefadroxil; second generation 25 cephalosporins such as cefamandole, cefoxitin, cefaclor, cefuroxime, cefuroxime axetil, cefonicid, cefotetan and ceforanide; third generation cephalosporins such as cefotaxime, ceftizoxime, ceftriaxone, cefoperazone and ceftazidime), tetracyclines (such as demeclocyclotetracycline, doxycycline, methacycline, minocycline and oxytetracycline), beta-lactamase inhibitors (such as clavulanic acid), 30 aminoglycosides (such as amikacin, gentamicin C, kanamycin A, neomycin B, netilmicin, streptomycin and tobramycin), chloramphenicol, erythromycin,

clindamycin, spectinomycin, vancomycin, bacitracin, isoniazid, rifampin, ethambutol, aminosalicylic acid, pyrazinamide, ethionamide, cycloserine, dapsone, sulfoxone sodium, clofazimine, sulfonamides (such as sulfanilamide, sulfamethoxazole, sulfacetamide, sulfadiazine, and sulfisoxazole), trimethoprim-
5 sulfamethoxazole, quinolones (such as nalidixic acid, cinoxacin, norfloxacin and ciprofloxacin), methenamine, nitrofurantoin and phenazopyridine. Such agents further include agents active against protozoal infections such as chloroquine, diloxanide furoate, emetine or dehydroemetine, 8-hydroxyquinolines, metronidazole, quinacrine, melarsoprol, nifurtimox, pentamidine, sodium
10 stibogluconate and suramin.

A variety of central nervous system agents are suitable for use in the present composition. These include neuroleptics such as the phenothiazines (such as compazine, thorazine, promazine, chlorpromazine, acepromazine, aminopromazine, perazine, prochlorperazine, trifluoperazine, and
15 thioproperazine), rauwolfia alkaloids (such as reserpine and deserpine), thioxanthenes (such as chlorprothixene and tiotixene), butyrophenones (such as haloperidol, moperone, trifluoperidol, timiperone, and droperidol), diphenylbutylpiperidines (such as pimozide), and benzamides (such as sulpiride and tiapride); tranquilizers such as glycerol derivatives (such as mephenesin and
20 methocarbamol), propanediols (such as meprobamate), diphenylmethane derivatives (such as orphenadrine, benzotrapine, and hydroxyzine), and benzodiazepines (such as chlordiazepoxide and diazepam); hypnotics (such as zolpdem and butoctamide); 9-blockers (such as propranolol, acebutonol, metoprolol, and pindolol); antidepressants such as dibenzazepines (such as
25 imipramine), dibenzocycloheptenes (such as amitriptyline), and the tetracyclics (such as mianserine); MAO inhibitors (such as phenelzine, iproniazide, and selegiline); psychostimulants such as phenylethylamine derivatives (such as amphetamines, dexamphetamines, fenproporex, phentermine, amfepramone, and pemline) and dimethylaminoethanols (such as clofenciclan, cyprodenate,
30 aminorex, and mazindol); GABA-mimetics (such as progabide), alkaloids (such as co-dergocrine, dihydroergocristine, and vincamine); cholinergics (such as

citicoline and physosigmine); vasodilators (such as pentoxifyline); and cerebro active agents (such as pyritinol and meclofenoxate); as well as mixtures of several such agents.

Of particular interest are sedative-hypnotics such as the benzodiazepines,
5 psycho-pharmacological agents such as the phenothiazines, thioxanthenes, butyrophenones, and dibenzoxazepines, and central nervous system stimulants. Since, the brain treatment embodiment of the invention is directed to compositions that improve the activity of biological agents, this embodiment of the invention can be applied to a wide variety of central nervous system agents by applying the
10 principles and procedures described herein.

The compositions also can utilize a variety of polypeptides such as antibodies, toxins such as diphtheria toxin, peptide hormones, such as colony stimulating factor, and tumor necrosis factors, neuropeptides, growth hormone, erythropoietin, and thyroid hormone, lipoproteins such as α -lipoprotein, proteoglycans such as
15 hyaluronic acid, glycoproteins such as gonadotropin hormone, immunomodulators or cytokines such as the interferons or interleukins, hormone receptors such as the estrogen receptor.

Preferred peptides are those with molecular weight of at least about 1,000, more preferably at least about 5,000, most preferably at least about 10,000.

20 The block copolymers also can be used with enzyme inhibiting agents such as reverse transcriptase inhibitors, protease inhibitors, angiotensin converting enzymes, 5α -reductase, and the like. Typical of these agents are peptide and nonpeptide structures such as finasteride, quinapril, ramipril, lisinopril, saquinavir, ritonavir, indinavir, nelfinavir, zidovudine, zalcitabine, allophenylnorstatine,
25 kynostatin, delaviridine, *bis*-tetrahydrofuran ligands (see, for example Ghosh *et al.*, *J. Med. Chem.* 39(17): 3278-90 1996), and didanosine. Such agents can be administered alone or in combination therapy; *e.g.*, a combination therapy utilizing saquinavir, zalcitabine, and didanosine or saquinavir, zalcitabine, and zidovudine. See, for example, Collier *et al.*, *Antiviral Res.*, 1996 Jan. 29(1): 99.

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Where cytokines are to be used, the cytokine of choice (which may include a mixture of several cytokines) is preferably either covalently modified with a hydrophobic substituent (e.g., a fatty acid or lipid residue), or incorporated into a micelle of a block copolymer of poly(oxyethylene)-poly(oxypropylene) (POE-POP) in an aqueous dispersion, or covalently modified with a hydrophobic substituent, and then incorporated into a micelle of a block-copolymer of poly(oxyethylene)-poly(oxypropylene) as described herein.

Incorporation of a cytokine into block copolymer micelles is performed either noncovalently by solubilization of cytokine in block copolymer aqueous solution, or covalently by cytokine conjugation with the block-copolymer and subsequent solubilization of the obtained conjugate in the block copolymer aqueous solution.

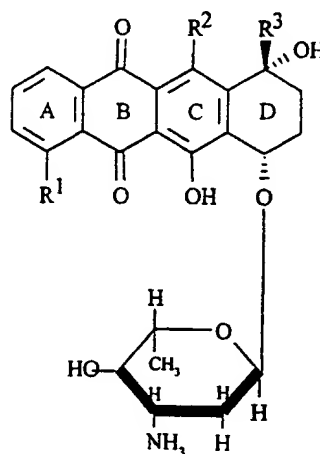
Both a cytokine covalent modification with a hydrophobic substituent and incorporation of a cytokine (either unmodified or modified with a hydrophobic group into a block copolymer micelle) lead to enhancement of specific immunomodulatory activity of this cytokine, and reduction of its side effects on the patient. These effects result from: {i} the increase of apparent affinity of a modified or micelle-incorporated cytokine to receptor-bearing (target) cells, {ii} increase of the efficacy of the cytokine penetration into the target cells, and {iii} decrease of cytokine nonspecific interactions with organs and tissues other than those providing its immunomodulatory effect.

A variety of human and animal cytokines are suitable for use in the present compositions. These include interferons, interleukins, tumor necrosis factors (TNFs) such as $\text{TNF}\alpha$, and a number of other protein and peptide factors controlling functions of the immune system. It will be appreciated that this extends to mixtures of several such agents, and the invention is not directed to the underlying specific activity of the cytokines themselves, but rather to the compositions themselves.

Cytokine covalent modification with a hydrophobic substituent can be performed in reversed micelles of AOT[®] in octane that serve as microreactors

allowing uniform point modification of peptide or protein molecules with fatty acid or lipid residues (1 to 5 residues per protein or peptide molecule). This makes it possible to preserve the water solubility and biological activity of modified agents. Kabanov, *et al.*, *Protein Engineering*, 3(1), 39-42 (1989).

- 5 Additional chemotherapeutic agents appropriate for use in this invention include, without limitation, vinca alkaloids such as vincristine and vinblastine, mitomycin-type antibiotics such as mitomycin C and N-methyl mitomycin C, bleomycin-type antibiotics such as bleomycin A2, antifolates such as methotrexate, aminopterin, and dideaza-tetrahydrofolic acid, colchicine, demecolone, etoposide, taxanes such as paclitaxel (Taxol[®]), anthracycline antibiotics and others. The anthracycline antibiotics exemplify drugs having delivery problems due to low stability, the development of drug resistance in the target tissue, or rapid metabolism. These antibiotics typically include a fused tetracycline aglycone ring system joined at the 7-position to daunosamine. They
- 10 include, for instance, the compounds represented by the formula:
- 15



- wherein R^1 is hydroxy or methoxy; R^2 is hydrogen or hydroxy; and R^3 is ethyl, acetyl, hydroxyacetyl, or an ester of hydroxyacetyl. These tetracycline antibiotics, like many anti-neoplastic agents, are believed to act by intercalating
- 20 between the planar aromatic ring structures of DNA, thereby interfering with DNA replication. See, Neidle and Waring, *Molecular Aspects of Anti-Cancer Drug Action*, Pitman Press (1983). Neoplastic cells are generally particularly

susceptible, since they are actively replicating and thus synthesizing replica copies of their DNA. Such tetracycline antibiotics include, without limitation, doxorubicin, daunorubicin, carminomycin, epirubicin, idarubicin, mithoxanthrone, 4-demethoxy-daunomycin, 11-deoxydaunorubicin, 13-deoxydaunorubicin, 5 adriamycin-14-benzoate, adriamycin-14-octanoate, or adriamycin-14-naphthaleneacetate.

Dosage

The dosage for a biological agent in a micellar composition will often be about that of the biological agent alone; dosages will be set by the prescribing 10 medical professional considering many factors including the age, weight and condition of the patient and the pharmacokinetics of the agent. Often the amount of a micellar form of an agent required for effective treatment may be less than the amount required using the free biological agent. By way of example, for daunorubicin use in treating cancer, a typical dosage will be about 1.0 mg per kg 15 of body weight. Vinblastine is typically administered at a dose of from 0.1 to 0.2 mg per kg of body weight.

Generally, the biological agents used in the invention are administered to an animal in an effective amount. The effect of the copolymer used in the composition on effectiveness must be considered in determining effective amount. 20 Generally, an effective amount is an amount effective to either (1) reduce the symptoms of the disease sought to be treated or (2) induce a pharmacological change relevant to treating the disease sought to be treated. For cancer, an effective amount includes an amount effective to: reduce the size of a tumor; slow the growth of a tumor; prevent or inhibit metastases; or increase the life 25 expectancy of the affected animal.

In many cases, the metabolites of various biological agents create or enhance the unwanted effects resulting from administering the agent. This is certainly the case for anthracycline-based drugs, where metabolites are believed to lead to cardiotoxicity. See, Mushlin *et al.*, *Br. J. Pharmacol.* 110: 975-982 (1993). The

copolymer compositions of the invention can decrease the rate of metabolism for biological agents, thereby reducing the potential for harmful side effects.

Penetration of the brain by a biological agent can be measured by a number of techniques, as will be recognized by those of ordinary skill in the art. Such methods include isotope labeling, assessing animal behavior for the effects of a biological agent, and measuring lethal dosages for drugs with toxic effects that occur at the brain. Such methods further include measuring decreases in the dosage required to elicit the appropriate biological response.

Various antifungal agents successfully treat human fungal infections. However, the therapeutic dose is often a compromise between achieving effective drug levels and avoiding toxic side effects. In recent years, the emergence of drug resistance among intrinsically sensitive species such as *Candida albicans* and the increasing incidence of intrinsically drug resistant species such as *Candida krusel* has prompted a search for newer antifungal agents.

Although fluconazole has a low incidence of side effects, the incidence of resistance is an increasing problem. Delivery vehicles that are effective in enhancing chemotherapeutic activity and reversing resistance to such agents is therefore desirable for this agent, as well as for other antimicrobial agents.

The following examples will serve to further typify the nature of the invention but should not be construed as a limitation on the scope thereof, which is defined solely by the appended claims.

Example 1 - Micelle Size

Block copolymers of poly(oxyethylene)-poly(oxypropylene) having the ratios of poly(oxypropylene) to poly(oxyethylene) indicated below were dispersed in RPMI 1640 medium at the concentrations indicated below. The mixtures were incubated for 40 minutes at 300C. The average micelle diameter was measured by quasielastic light scattering. See Kabanov *et al.*, *Macromolecules* 28: 23032314, 1995. The results were as follows:

Copolymer	Conc. (% w/v)	Avg. Diameter
F-68	1.0%	726.0 nm
P-85	1.0%	18.0 nm
L-64	1.0%	20.4 nm
1:1.5 P-85:L-64	0.01%	17.0 nm
1:2.5 F-68:L-64	1.0%	33.5 nm

Example 2 - Fatty Acyl Conjugates

A solution of 50 μ l of 2 mg/ml of anti- α_2 GP antibody specific for the α_2 -glycoprotein of glial cells (Chekhonin *et al.*, *FEBS Lett.* 287: 149-152, 1991) in 0.1 M borate buffer (pH 8.5) was mixed into 2 ml of 0.1M AOT[®] sodium bis(2-ethylhexyl)sulfosuccinate, available from Serva Chemicals, Germany in octane. A reaction is initiated by adding a two-fold molar excess (with respect to the polypeptide) of stearic acid chloride in 0.2 ml of 0.1M AOT[®] in octane to the mixture. The stearic acid chloride was obtained from stearic acid (available from Reakhim, Russia) as described in Kabanov *et al.*, *Molek Biologiya (Russian)*, 22: 473-484 (Engl. edn.: 382-391), 1988. The reaction was conducted overnight at 25°C. The product is precipitated three times with cold acetone, dissolved in RPMI 1640 medium and sterilely filtered through a 0.22 μ m filter (the polygonal antibody used in this experiment also reacted with glial fibrillary acidic protein.).

Example 3 - Iodinated Targeting Moieties

Anti- α_2 GP antibody was labeled with ¹²⁵I using Bolton-Hunter reagent in the system of reversed micelles of AOT[®] in octane as described in Slepnev V.I. *et al.*, *Bioconjugate Chem.*, 3, 273-274 (1992). Specific radioactivity of the ¹²⁵I-labeled protein ranges from 19 to 21 Ci/mol.

Wistar rats (80g body weight, 8 animals/group) were injected i.p. (0.1 ml/10g body weight) with a composition made up of the ¹²⁵I-labeled anti- α_2 -GP antibody

(1 mCi/ml) dissolved in a mixture of 1.5% (w/v) copolymer Pluronic P85 and 2.5% (w/v) copolymer Pluronic L64 dissolved in RPMI 1640 medium. ^{125}I -labeled polypeptide dissolved in RPMI 1640 medium was administered at the same concentration. After three days the animals were killed, and tissue samples taken for radioactivity assay to analyze tissue distribution as described by Chekhonin *et al.*, *FEBS Lett.*, 287, 149-152 (1991). The distribution of radioactivity was quantitated by liquid scintillation counting. The experiments were repeated at least twice and the results were reproducible with less than 10% variation. The results, expressed as the ratio of brain radioactivity to the radioactivity in a given tissue (\pm S.D.), were as follows:

Organ	Relative Content of Label	
	Micelle	Control
Brain/heart	1.22 \pm 0.91	0.11 \pm 0.02
Brain/kidney	7.42 \pm 0.56	0.05 \pm 0.01
Brain/liver	9.02 \pm 0.75	0.01 \pm 0.00
Brain/lung	12.1 \pm 0.92	0.04 \pm 0.01
Brain/spleen	6.48 \pm 0.39	0.01 \pm 0.00
Brain/blood	8.85 \pm 0.67	0.01 \pm 0.00

Example 4 - Quantitation of Behavioral Changes

Quantitative evaluation of changes in behavior reactions {See *Theory in Psychopharmacology*, S.J. Cooper, Ed., Vol. 1, (Academic Press, London, New York, 1981) are performed. Groups (10 animals/dose point) of DBA/2 male mice (from Kriukovo Veterinary Department of Russian Academy of Sciences, Russia, 20-25g body weight) with similar characteristics of moving activity are injected i.p. with the test preparations at doses corresponding to 0.10 LD₉₅. Concentrations are adjusted so that a maximum volume of 0.1 ml is injected in each mouse. Mouse mobility (the number of mouse migrations in a cell) and grooming

characteristics are registered for each group at 30 minute intervals over 15 hours using a Rhema Labortechnik device. The experiments are repeated three times.

Example 5 - Measuring Toxicity

The lethal effect accompanied by development of specific neurologic symptoms described in *Theory in Psychopharmacology*, S.J. Cooper, Ed., Vol. 1, (Academic Press, London, New York, 1981) is measured. Groups (10 animals/dose point) of DBA/2 mice (18-19g body weight) are injected i.p. with the test preparations. Concentrations are adjusted so that a maximum volume of 0.5 mL is administered to each mouse. For quantitative evaluation of specific lethal action, the lethal dose (L.D.) is calculated using the probit method on the basis of 10 concentration points. The experiments are repeated at least twice and results should be reproducible with less than 10% variation.

Example 6A - Micelle Formation

A 1:1.5 mixture of Pluronic P85 and Pluronic L64 having individual ratios (n) of (oxypropylene) to (oxyethylene) blocks of 1.00 and 1.50, respectively, and a combined value (N) of 1.30, was diluted with RPMI 1640 medium to a final concentration of 4.0% at 40°C. The mixture was incubated for 30 minutes at 37°C and then sterilized by filtration through a 0.22 μ m filter. An equal volume of a solution of 200 μ g daunorubicin in RPMI 1640 medium was added and this mixture was incubated for 30 minutes at 37°C.

Example 6B - Preparation of Brain Targeted Micelles

Equal volumes of the solution of Pluronic micelles of Example 6A and the solution of stearylated antibody of Example 2 were mixed at 37°C. Equal volumes of the resulting solution and a sterile 6 mg/ml solution of haloperidol dissolved in RPMI 1640 were mixed at 37°C.

Example 7 - Behavioral Measure of Brain Biodistribution

The preparations described in Example 6, except that the anti-GFAP antibody was not radioactive and was used at a concentration of 0.4 mg/ml, were used in these experiments.

- 5 Solutions were administered i.p. Animal mortality was monitored daily for 14 days. The LD₅₀ and maximum tolerated dosage ("M.T.D.", i.e., the maximal dose at which no animals among 6 equivalently treated animals died) were calculated by probit analysis. See, Chan and Hayes in *Principles and Methods of Toxicology*, Hayes, A.W., Raven Press, New York, 1989, pp. 169-189. When
10 administered in the Pluronic vehicle, the LD₉₅ value of haloperidol was determined to be 0.15 mg/kg, without the Pluronic vehicle, the LD₉₅ value of haloperidol was 75 mg/kg.

- An amount equaling 10% of the LD₉₅ for a given composition was injected i.p. into DBA/2 mice in 0.5 ml of the pluronic vehicle (Example 6). The
15 behavioral results of these injections (\pm S.D.), measured as described in Kabanov *et al.*, *J. Controlled Release*, 22:141 (1992), were as follows:

Behavior	Micellar form of haloperidol	Free haloperidol
Horizontal mobility	14.4 \pm 64%	204.6 \pm 24%
Grooming	26.5 \pm 76%	1834.8 \pm 12.5%

- As can be seen from the above table, the micellar form of haloperidol is markedly more active than an amount of free haloperidol normalized at 10% of the
20 LD₉₅ amount.

Example 8 - Specific and Non-Specific Targeting Molecules

A specific targeting composition was prepared as described in Example 6. The final concentration of the anti-GFAP antibody was 0.02 mg/ml, and its specific radioactivity was 20 Ci/mol.

- 5 A non-specific was prepared using the same procedure but substituting a Fab preparation of non-specific murine IgG for the brain-specific antibody. The final concentration of the antibody was 0.02 mg/ml, and its specific radioactivity was 20 Ci/mol.

10 These preparations (0.5 ml) were injected i.p. into DBA/2 mice. The resulting biodistributions (\pm S.D.) were:

Organ	Relative Content of label (% Dose/g of tissue)	
	Micelle	Control
Brain	53+4.15*	1.4+0.12
Heart	3.2+0.22	3.1+0.21
Kidney	4.4+0.31	5.1+0.47
Liver	4.3+0.26	36.2+1.92
Lung	2.2+0.11	4.8+0.42
Spleen	4.1+0.33	5.1+0.41
Blood	3.8+0.31	8.7+0.67

Example 9 - Targeting Using Neuronal-Specific Anti-Enolase Antibody

- 15 A targeting composition was made using the procedure of Example 6 wherein the antibody was a monoclonal antibody against the γ -subunit of neuronal-specific enolase ("anti-NSE MAb", available from Russian Research Center, Moscow, Russia). The final concentration of the antibody was 0.35 mg/ml, and its specific radioactivity was 18 Ci/mol. For control experiments, the nonspecific murine antibody preparation described in Example 8 was used.

These preparations (0.5 ml) were injected i.p. into DBA/2 mice. The resulting biodistributions (\pm S.D.) were:

Organ	Relative Content of label (% Dose/g of tissue)	
	Micelle	Control
Brain	58 \pm 5.12*	0.9 \pm 0.06
Heart	3.2 \pm 0.23	2.8 \pm 0.21
Kidney	4.3 \pm 0.36	5.6 \pm 0.52
Liver	3.8 \pm 0.32	31.2 \pm 3.05
Lung	2.10 \pm .18	6.4 \pm 0.59
Spleen	3.9 \pm 0.33	4.9 \pm 0.37
Blood	4.1 \pm 0.40	7.4 \pm 0.71

5

Example 10 Targeting Using Insulin

An insulin targeting molecule was prepared by linking stearyl moieties to insulin (available from Sigma, St. Louis, MO) using the method of Example 6. The targeting molecule was incorporated into a haloperidol composition using the method described in Example 6. The final concentration of insulin in the composition was 0.4 mg/ml. The LD₅₀ for this haloperidol composition was determined to be 3.0 mg/kg, using the method in Example 7.

10

An amount equaling 10% of the LD₅₀ for a given composition was injected i.p. into DBA/2 mice in 0.5 ml (6 mice per each treatment). The behavioral results of these injections (\pm S.D.), measured as described in Kabanov *et al.*, *J. Controlled Release*, 22:141 (1992), were as follows:

15

Behavior	Micellar form of haloperidol	Free haloperidol
Horizontal mobility	56.1 \pm 36%	180.1 \pm 26%
Grooming	86.6 \pm 29%	1656.4 \pm 6.5%

As can be seen from the above table, the micellar form of haloperidol is markedly more active than an amount of free haloperidol normalized at 10% of the LD₉₅ amount.

Example 11 - Sulpiride Compositions

5 Sulpiride and the stearylated anti-NSE Fab antibody preparation of Example 9 were incorporated into the block-copolymer micelles using the methods described in Example 6. The final concentration of anti-NSE Fab in the preparation was 2.1 mg/ml. A sterile, control solution of sulpiride in RPMI 1640 medium was prepared. The LD₉₅ values for the preparations was determined as described in
10 Example 7. For the block copolymer preparation, the LD₉₅ was 12.1 mg/kg body weight; for the control preparation it was 100 mg/kg body weight.

Example 12 - Trifluoroperazine Compositions

Trifluoroperazine and anti-GFAP Fab antibody preparation treated with stearyl chloride were incorporated into the block-copolymer micelles using the
15 methods described in Example 6. The final concentration of antibody in the preparation was 0.2 mg/ml. A sterile, control solution of trifluoroperazine in RPMI 1640 medium was prepared. The LD₉₅ values for the preparations was determined as described in Example 7. For the block copolymer preparation, the LD₉₅ was 0.04 mg/kg body weight; for the control preparation it was 10 mg/kg
20 body weight.

The minimum neuroleptic dose (MND) was determined for each preparation. The minimum neuroleptic dose is defined as the minimum dose that caused a neuroleptic effect as monitored behaviorally. See, Kabanov *et al.*, *FEBS Lett.* 258: 343-345, 1989. The MND for the copolymer-containing preparation was
25 0.02 mg/kg, while that of the control preparation was 2 mg/kg. The ratio of LD₉₅ /MND was 50 for the copolymer preparation and 5 for the control preparation.

Example 13A - Cytotoxicity Against Resistant Cancer Cells

Pluronic P85 was dissolved in RPMI 1640 medium (ICN Biomedicals Inc., Costa Mesa, CA) to a final concentration of 1.0%, and then the solution was sterilized by filtration to remove bacterial or fungal contamination. This Pluronic
5 P85 solution was used to make appropriate dilutions of sterile drug solutions for the cell culture experiments described below.

The cytotoxicity studies utilized the SKOV3 line of transformed cells (hereinafter "SK cells") and the SKVLB cell line derived therefrom (hereinafter "SK-resistant cells"). Both of these cell lines were provided by Dr. V. Ling,
10 University of Toronto. The SK-resistant cell line is a multi-drug resistant cell line derived from the SK cell line by long term cultivation in the presence of vinblastine.

Various dilutions of a number of anticancer agents were made in RPMI medium or the Pluronic P85 solution described above. Cells were prepared for
15 use in these experiments by plating an equal volume of a cell suspension (2000-3000 cells) into the wells of 96-well microliter plates (Costar, Cambridge, MA) and cultured for 2 days. All cell culturing was done at 37°C and under a 5% CO₂ atmosphere. After this, 100 µl per plate of fresh medium (RPMI 1630 medium supplemented with 10% fetal calf serum) was added. The free anticancer agent or
20 copolymer plus anticancer agent dilutions were applied to the wells in 100 µl volumes. The cells were exposed to the free or micellar form of a drug for two hours. After this incubation, the cells were washed three times with fresh medium. Then, the cells were cultured under fresh medium for an additional four days.

25 The number of viable cells for each culture was determined by standard XTT analysis, which measures the activity of mitochondrial enzymes. See, Scudiero *et al.*, *Cancer Res.*, 48:4827 (1988). 50 µl per well of sterile 1 mg/ml XTT (2,3-bis[2Methoxy-4-nitro-5-sulfophenyl]-2H-tetrazolium-5-carboxanilide inner salt, Sigma, St. Louis, MO) in PRMI-1640 containing 5 µl/ml of 1.54 mg/ml phenazine

metasulphate (Sigma) in PBS was added to the cells. The cells were incubated for 16 hours, after which the absorbance of each well at 450 nm was determined. The SEM for any value determined (the mean of three determinations) was always with 10% of the value. IC₅₀ values (*i.e.*, the concentration at which 50% inhibition was achieved) were determined by extrapolating from graphs plotting the number of viable cells (*i.e.*, the mitochondrial enzyme activity) versus the concentration of drug applied to the cells. The results for SK-resistant cells were as follows:

Form of biological agent	IC ₅₀ , (ng/ml)
Free doxorubicin	60,000
Pluronic L61	70
Pluronic P85	1000
Pluronic F108	2000
Pluronic F68	60,000

Example 14 - Copolymer Titrations

The methodology of Example 13A was used except in two details. The first difference was that doxorubicin-resistant MCF7 cells (MCF ADR cells, which described further in Example 21) were used in place of SK cells. Second, in addition to varying doxorubicin concentrations, the concentration of copolymer was also varied. The percent inhibition with change in doxorubicin concentration is shown in Figure 1A for cultures maintained in the presence of varying concentrations of Pluronic L61. Line 1 is for free doxorubicin; line 2 is for doxorubicin in the presence of 0.61×10^{-6} M Pluronic L61; line 3 is for doxorubicin in the presence of 0.3×10^{-5} M Pluronic L61; line 4 is for doxorubicin in the presence of 0.16×10^{-4} M Pluronic L61; line 5 is for doxorubicin in the presence of 0.8×10^{-4} M Pluronic L61; line 6 is for doxorubicin in the presence of 0.4×10^{-3} M Pluronic L61; and line 7 is for doxorubicin in the presence of 0.4×10^{-1} M Pluronic L61. In Figure 1B, these

data are consolidated such that the figure shows the IC₅₀ value for doxorubicin applied to the cells in the presence of the indicated concentration of Pluronic L61.

Example 15 - Parenteral Composition

5 A composition suitable for parenteral administration was prepared by dissolving 400 mg of Pluronic P-85 and 600 mg of Pluronic L-64 in 50 mL of RPMI 1640 at 40°C. The mixture was incubated for 30 minutes at 37°C and then sterilized by filtration through a 0.22 µm filter. The filtered solution was mixed with a solution of 100 mg of sterile lyophilized haloperidol powder dissolved in
10 50 mL of RPMI and incubated for 30 minutes at 37°C.

The composition can be stored in the dark at room temperature for 7 days without loss of activity or can be lyophilized and stored for at least 1 year in the dark at room temperature.

15 Example 16 - Parenteral Composition

A further composition suitable for parenteral administration prepared by dissolving 100 mg of sodium ascorbate in 100 ml of a 9% aqueous solution of sodium chloride. To one-half of this solution were added at 4°C 400 mg of Pluronic P-85 and 600 mg of Pluronic L-64. The mixture was incubated for 30
20 minutes at 37°C and then sterilized by filtration through a 0.22 µm filter. Separately 100 mg of sterile lyophilized haloperidol powder and 50 mg of glucose were dissolved in the remaining sodium ascorbate-sodium chloride solution and the two solutions were mixed and incubated for 30 minutes at 37°C.

This composition can be stored for 30 days in the dark at room temperature
25 without loss of activity or can be lyophilized and stored for at least 1 year in the dark at room temperature.

Example 17 - Parenteral Composition

A further composition suitable for parental administration prepared by dissolving 100 mg of sodium ascorbate in 100 mg of a 9% aqueous solution of sodium chloride. To one-half of this solution were added at 4°C 400 mg of Pluronic P-85 and 600 mg of Pluronic L-64. The mixture was incubated for 30 minutes at 37°C. Separately 100 mg of lyophilized haloperidol powder and 50 mg of glucose were dissolved in the remaining sodium ascorbate-sodium chloride solution and the two solutions were mixed and incubated for 30 minutes at 37°C. The combined mixture was sterilized by filtration through a 0.22 μ m filter. This composition can be stored for 30 days in the dark at room temperature without loss of activity or can be lyophilized and stored for at least 1 year in the dark at room temperature.

Example 18 - Parenteral Composition

A parenterally administrable composition was prepared by dissolving 400 mg of pluronic P-85 and 600 mg of pluronic L-64 in 50 ml of aqueous solution containing 1 mg/ml sodium ascorbate and 0.9 g/ml sodium chloride. The mixture was incubated for 30 min. at 37°C. To this was added 100 mg of lyophilized haloperidol powder and 50 mg of glucose dissolved in 50 ml of aqueous solution containing 1 mg/ml sodium ascorbate and 0.9 g/ml sodium chloride and this combined mixture was incubated for 30 min. at 37°C. To 100 ml of this preparation were dissolved 40 mg of lyophilized hydrophobized anti-GFAP Fab powder and this solution was incubated for 30 minutes at 37°C and then sterilized by filtration through a 0.22 μ m filter. The composition can be stored in the dark at room temperature for 30 days without any essential loss of activity or can be lyophilized and stored for at least one year in the dark at room temperature.

Example 19

A further composition suitable for parenteral administration is prepared by dissolving 100 mg of sodium ascorbate in 100 ml of a 9% aqueous solution of

sodium chloride. To this solution are added at 40°C 10 mg of Pluronic L-61. The mixture is incubated for 30 minutes at 37°C and then sterilized by filtration through a 0.22 µm filter. This solution is packaged together with a container of 10 mg doxorubicin.

5

Example 20 - Acute Toxicity

The acute toxicity of Pluronic F108, P85 and L61 were studies in 5-week old BALB/c male mice. Each experimental group of mice included 6 mice.

Various doses of isotonic Pluronic solutions were administered *i.p.* Animal mortality was monitored daily for 14 days. The LD₅₀ and maximum tolerated dosage ("MTD", *i.e.*, the dose at which no animals among 6 equivalently treated animals died) were calculated by probit analysis. See, Chan and Hayes in *Principles and Methods of Toxicology*, Hayes, A.W., ed., Raven Press, New York, 1989, pp. 169-189. The results were as follows:

Pluronic	MTD, g/kg	LD ₅₀ , g/kg
Pluronic L61	0.1	0.8
Pluronic P85	0.2	0.8
Pluronic F108	5.0	9.0

15

Example 21

Antibodies (Ab) to GFAP and α2-glycoprotein were modified with stearic acid residues as described in Example 1. They were also covalently linked to Pluronic P85 as described by Kabanov *et al.*, J. Controlled Release, 22:141 (1992).

20

The therapeutic efficacy of doxorubicin in treatment of glioma was explored. C6 glioma cells were inoculated intracerebrally in groups (*n* = 25) of male Sprague-Dawley rats (280 - 300g) obtained from Kriukovo Department of Nursery

of Russian Academy of Sciences. 10, 15, 20, and 25 days after inoculation, (a) 10 mg/kg of free doxorubicin, (b) doxorubicin in 1% Pluronic P85, (c) doxorubicin in 10% Pluronic P85 containing 0.1 mg/ml of Ab modified with stearic acid chloride and (d) doxorubicin in 10% Pluronic P85 containing 0.1 Mg/ml of Ab linked to

5 Pluronic P85 were administered i.p. (volume 1 ml/300g body weight). Controls will be given injections i.p. with an equal volume of saline. Clinical observations were performed daily. Animals were weighted weekly in the first 2 months and monthly thereafter. Vital signs will be verified to ensure that the animal was dead and necropsy was initiated within 5 min. after the animal died. Data on survival

10 was analyzed to grade the drug effect on tumor incidence and latency. The data were presented as a ratio of median survival times in the treated group (T) and control (C). For necropsy all major organs were saved and fixed in their entirety. The tail (used in the study for animal identification during in-life phase) was saved in formalin with the animal tissues. All brains were removed and trimmed at three

15 different positions. Three sections of the spinal cord were collected at the cervical, thoracic and lumbar level. Trimmed specimen was placed in Tissue Tek cassettes and processed in a tissue processor. Tissue sections were cut at a thickness of 4-6 mm using a microtome and stained with haematoxylin-eosine. Histopathological examinations of brains assessed: (i) the total number of tumors

20 in animals; (ii) the number of tumor bearing animals; and (iii) the histopathological classification and grading of tumors. The results of the experiment are as follows:

Animal group	Median survival, days	Trial/control x 100%
Control	11.2	-
Free doxorubicin	10.5	-
Micellar doxorubicin	25.3	226
Micellar doxorubicin + stearoylated antibodies	41.0	366
Aicellar doxorubicin + conjugated antibodies	24.5	218

The histopathological examinations also revealed that (1) free doxorubicin caused no effect on tumor size and number compared to control; (2) all 3 micellar formulations caused significant decrease in tumor size and number; (3) the most pronounced effect was observed in the case of micellar doxorubicin + strearoylated antibodies, in this case tumors were practically not observed.

Example 22 - *In vivo* Activity of Insulin Formulated

During Oral Administration

Hypoglycemia induced by high doses of insulin in mice was used as biological response criteria. The drug activity was evaluated by analyzing the glucose level in plasma versus time following drug administration. Isotonic solutions of free insulin (Ins) or insulin formulated with POE-POP block copolymer ("SP1-Ins") were given to Balb/c mice at the same doses either s.c. or p.o.

Female six-week-old Balb/c mice (six animals per time point) were administered s.c. or p.o. with sterile 100 μ l per 20g of body weight (5ml/kg) of Insulin or SP1-Insulin solutions, and the same volumes of isotonic solution were given to the control group of animals. Both Insulin and SP1-Insulin injections contained 0.02 mg/ml of insulin with activity of 27.3 μ /mg.

The animals were sacrificed after various time intervals (0.5-6hr; post-administration), plasma samples were collected, and glucose levels were analyzed by standard glucosoxidase-peroxidase method. The statistical significance was analyzed by the multiple range text of Duncan-Kramer.

Insulin, when injected s.c., induces a reversible decrease in the glucose level in plasma that reaches about 15% of the normal level 3 hours after drug administration, and then returns to the normal level after about 6 hours. The SP1-Insulin formulation given s.c. produced about the same changes as Insulin (data not shown). The comparison of p.o. administered formulations showed that SP1-Insulin, induces a significant decrease in the glucose level (about 28% of the normal level) with the same pattern of pharmacokinetics as s.c. administered drug,

while Insulin given in the same way and at the same dose produces only minor changes.

The results of this study have shown that incorporation of insulin into the block copolymer carriers led to a substantial increase in its activity during oral administration, suggesting that bioavailability of orally administered SP1-Insulin is comparable to that of s.c. injected free insulin.

Example 23

A. A block-copolymer of poly(oxyethylene)-poly(oxypropylene) in which $N=1.00$ (pluronic P785), is diluted with RPMI 1640 medium to a final concentration of 2.0% at 4°C. The mixture is incubated for 30 minutes at 37°C and then sterilely filtered through a 0.22 μ M filter. An equal volume of a sterile solution of human recombinant Interferon α_2 in RPMI 1640 medium is added, and this mixture is incubated for 30 minutes at 37°C (Prep. A).

B. Antiproliferative activity of Prep. A and nonmodified human recombinant Interferon α_2 solution in RPMI 1640 medium (Prep. B) with respect to Jurkat cells was determined by flow cytometry by a decrease in the index of cell growth (ratio of the number of cells incubated with Prep. A or Prep. B for 24 hours to the initial number of cells). The results obtained are as follows:

Concentration of Interferon α_2 , lg(M)	Index of cell growth \pm S.D.	
	Prep. A	Prep. B
-16	1.68 \pm 0.12	1.72 \pm 0.11
-15	1.24 \pm 0.10	1.71 \pm 0.15
-14	1.20 \pm 0.12	1.61 \pm 0.17
-13	1.14 \pm 0.08	1.63 \pm 0.13
-12	1.21 \pm 0.09	1.44 \pm 0.12
-11	1.16 \pm 0.06	1.40 \pm 0.11
-10	1.20 \pm 0.10	1.35 \pm 0.12
-9	1.11 \pm 0.09	1.28 \pm 0.08
-8	1.18 \pm 0.10	1.25 \pm 0.10

Example 24

A. Human recombinant Interferon- α_2 was incorporated in block-copolymer of poly(oxyethylene)-poly(oxypropylene) micelles ($N=1.0$) as described in Example 23 (Prep. A). Nonmodified human recombinant Interferon- α_2 solution in RPMI 1640 medium (Prep. B) was used as a control. Concentrations of Interferon- α_2 in Prep. A and Prep. B were 1×10^{-13} M and 1×10^{-10} M respectively (according to the data represented in Example 23 these concentrations of Interferon- α_2 in Prep. A and Prep. B produce same antiproliferative effect on Jurkat cells).

B. The antiproliferative activity of Prep. A and Prep. B was determined by flow cytometry analysis of the cell cycle distribution of Jurkat cells. The results obtained are as follows:

Antiviral effect was determined 24 hours after virus administration. Antiviral titer for Prep. C and Prep. D was determined to be 3×10^9 and 2×10^5 respectively.

Example 26

5 A. Natural pork interferon- α was modified with stearyl chloride as described in Example 25 (Prep. A). Nonmodified native Interferon- α (Prep. B) used as a control.

B. Antiviral activity of Prep. A and Prep. B was evaluated by suppression of the cytopathic action of vesicular stomatitis virus on kidney cells of pork embryo. Prep. A and Prep. B were added to the cells 24 hours before their infection with a
10 100-fold lethal dose of the virus. Antiviral effect was determined 24 hours after virus administration. Antiviral titer for Prep. A and Prep. B determined to be 2×10^8 and 1×10^4 respectively.

Example 27

A. Natural pork interferon- α was modified with phosphatidylinositol. To
15 this end, 50 μ l of 2mg/ml interferon alpha in 0.1 M borate buffer (pH 8.5) are solubilized in 2 ml of 0.1M AOT[®] in octane. A 50-fold molar excess (with respect to Interferon- α_2) of phosphatidylinositol., oxidized in advance by sodium periodate, in 0.1M AOT[®] in octane, and 100-fold molar excess of sodiumborhydride are added to the micellar system obtained. The reaction
20 mixture was incubated overnight at 25°C. The modified cytokine was precipitated three times with cold acetone, dissolved in RPMI 1640 medium and sterilely filtered through a 0.22 μ m filter (Prep. A). Nonmodified native Interferon- α (Prep. B) was used as a control.

B. Antiviral activity of Prep. A and Prep. B was evaluated by suppression of
25 the cytopathic action of vesicular stomatitis virus on kidney cells of pork embryo. Prep. A and Prep. B were added to the cells 24 hours before their infection with a 100-fold lethal dose of the virus. Antiviral effect was determined 24 hours after

Sample	G1/G0, %	S, %	G2+M, %
Control (untreated cells)	50.0	32.5	17.5
Prep. B	45.0	46.0	9.0
Prep. A	48.0	42.0	10.0

Example 25

A. A 1:1.5 mixture of block copolymers of poly(oxyethylene)-poly(oxypropylene) (pluronic P-85 and L-64) having individual ratios (n) of (oxypropylene) to (oxyethylene) blocks of 1.00 and 1.50, respectively, and a combined value (N) of 1.30, is diluted with RPMI 1640 medium to a final concentration of 2.0% at 4°C. The mixture is incubated for 30 minutes at 37°C and then sterilely filtered through a 0.22µm filter (Prep. A).

B. 50µl of 2mg/ml. natural human Interferon- α_2 in 0.1M borate buffer (pH 8-5) were solubilized in 2ml of 0.1 M AOT[®] in octane. A 100-fold molar excess (with respect to Interferon α_2) of stearyl chloride in 0.1 M AOT[®] in octane is added to the micellar system obtained. The reaction mixture is incubated overnight at 25°C. Stearoylated cytokine is precipitated three times with cold acetone, dissolved in RPMI 1640 medium and sterilely filtered through a 0.22µm filter (Prep. B).

C. Modified human natural Interferon- α_2 (Prep. B) was incorporated in block-copolymer of poly(oxyethylene)-poly(oxypropylene) in which $N = 1.30$ (Prep. A) as described in Example 24 (Prep. C).

D. Antiviral activity of Prep. C and nonmodified native Interferon- α_2 (Prep. D) used as a control was evaluated by suppression of the cytopathic action of vesicular stomatitis virus on 3T3 NIH cells. Prep. C and Prep. D were added to the cells 24 hours before their infection with a 100-fold lethal dose of the virus.

virus administration. Antiviral titer for Prep. A and Prep. B was determined to be 5×10^7 and 1×10^4 respectively.

Example 28

5 A. Natural human Interferon- α_2 was modified with stearoyl chloride and incorporated in block copolymer of poly(oxyethylene)-poly(oxypropylene) in which $N=1.30$ (Prep. A) as described in Example 25 (Prep. A). Nonmodified B was used as a control.

B. Antiviral activity of Prep. A and Prep. B was evaluated by suppression of the cytopathic action of Aujeszky's disease virus on kidney cells of pork embryo.
10 Prep. A and Prep. B were added to the cells 24 hours before their infection with a 100-fold lethal dose of the virus. Antiviral effect was determined 24 virus administration. Antiviral titer for Pre-A and determined to be 1×10^{10} and 2×10^5 respectively.

Example 29

15 A. Human recombinant Tumor Necrosis Factor- α (TNF α) was incorporated in a block copolymer of poly(oxyethylene)-poly(oxypropylene) in which $N = 1.00$ (pluronic P-85) as described in Example 23 (Prep. A). Nonmodified TNF α (Prep. B) was used as a control.

B. Specific activity of Prep. A and Prep. B with respect to human ovarian
20 carcinoma SKOV₃ cells 48 hours. The results were as follows:

TNF α concentration, nM	Inhibition, % \pm SD	
	Prep. A	Prep. B
0.005		
0.04	3.3 \pm 0.5	2.4 \pm 0.5
0.2	24.4 \pm 2.7	4.8 \pm 1.0
1	52.3 \pm 4.8	4.8 \pm 1.0
5	76.7 \pm 5.9	8.5 \pm 1.2
20	84.3 \pm 7.9	28.6 \pm 2.3
100	91.5 \pm 8.2	40.0 \pm 3.6
150	100 \pm 11.3	65.0 \pm 5.7

Example 30

A. Human recombinant Interleukin-2 (IL-2) was covalently conjugated with a poly(oxyethylene)-poly(oxypropylene) block copolymer wherein $N=1.00$ (pluronic P-85) containing terminal aldehyde groups. To this end, 10 μ g of IL-2 were incubated over 4 hours at room temperature with the 50-fold molar excess of the block-copolymer in the presence of 50-fold molar excess of cyanoborhydride in 0.1 M borate buffer (pH 8.5). The conjugate was purified by gel-filtration on Biogel P-4 and then incorporated in the micelles of block-copolymer of poly(oxyethylene)-poly-(oxypropylene) in which $N = 1.00$ (pluronic P-85). Example 23 (Prep. A). Nonmodified IL-2 was used as a control (Prep. B).

B. The specified activity of IL-2 in Prep. A and Prep. B was determined using the IL-2 dependent CTLL2 cell line as described by Gillis, *et al.*, *J. Immunol.*, 120, 2027 (1978). The IL-2 activity was equal to 36 $\times 10^6$ units/ μ g in Prep. A and 5 $\times 10^6$ units/ μ g in Prep. B.

Example 31

A. Natural human Interferon- α_2 was modified with stearoyl chloride and incorporated in block copolymers of poly(oxyethylene)-poly(oxypropylene) in which $N = 1.30$ (Prep. A) as described in Example 26 (Prep. A). Nonmodified native is used as a control. Interferon- α_2 (Prep-B).

B. Groups of C57Bl/6-7 week-old male mice which included 36 animals/group were infected (intranasally) with a 10-fold lethal dose of influenza virus H/Chili/1/83 (H1N1). Equal doses of Prep. A and Prep. B were introduced subcutaneously 24 hours after infecting the animals. Survivability of animals was observed during 30 days following drug administration. On the 30th day, the survivability of animals in the control group of nontreated animals was equal to 0%; in the group treated with Prep. A - to 75%; and in the group treated with Prep. B - to 12%.

Example 32

A. Natural pork Interferon- α_2 was modified with stearoyl chloride and incorporated in poly(oxyethylene)-poly(oxypropylene) block copolymers in which $N = 1.30$ (Prep. A) as described in Example 25 (Prep. A). Nonmodified native Interferon- α_2 (Prep. B) was used as a control.

B. Groups of 3-month old white piglets (8 animals/group) not vaccinated against Aujeszky's disease were infected intracerebrally with a 1000-fold LD_{50} of Aujeszky's disease virus (virulent strain "Arsky"). Prep. A and Prep. B were administered three times intramuscularly: 24 hours before, simultaneously with and 24 hours after infection in doses of 0.01mg, 0.1mg and 1.0mg per animal per injection. Survivability and Aujeszky's disease symptoms were observed during a 60 day period. In the control experiment the same group of untreated infected animals was studied. The results obtained were as follows:

Sample	Dose (mg per animal)	Survivability in a group, %	Sick rate in a group ^a , %
Prep. A	3 x 0.01	100	0
Prep. A	3 x 0.1	100	0
Prep. B	3 x 0.1	0	100
Prep. B	3 x 0.1	12.5	100
Control (untreated cells)	-	0	100

^a Aujeszky's disease manifestations included disorders of the central nervous system, convulsions, paralysis of gullet, larynx and extremities. The percentage of animals that contracted the disease is presented.

5

Example 33

Prep. A and Prep. B were the same as in Example 31. Groups of 4-month old piglets (11 animals/group) not vaccinated against Aujeszky's disease, were infected intracerebrally with a 10000- fold LD₅₀ of Aujeszky's disease virus (virulent strain "Arsky"). Prep. A and Prep. B were administered at the serious stage of the disease three times intramuscularly: on days 6, 8, 10 after infection in the following doses: 0.01 mg, 0.1 mg and 1.0 mg per animal per injection. Survivability and Aujeszky's disease symptoms were observed during a 60 day period. The results were as follows:

Sample	Dose (mg per animal)	Survival rate %
Prep. B	3 x 1.0	0
Prep. A	3 x 0.01	73
Control (untreated animals)	-	0

Example 34 - Solution Behavior of Poly(oxyethylene)-Poly(oxypropylene) Block Copolymers

5 Poly(oxyethylene)-poly(oxypropylene) block copolymers were dissolved in the phosphate-buffered saline, 10 μ M, pH 7.4 (PBS) or in 2.5% solution of bovine serum albumin (BSA) in PBS at the concentrations shown below, and the mixtures incubated for at least one hour at 22.5°C or 37°C. After that the effective diameters of the aggregates formed in these systems were measured by quasielastic light scattering method as described by Kabanov, *et al.*, Macromolecules 28, 2303-2314 (1995). The results were as follows:

10

Copolymer	Conc., %	T, °C	Effective diameter, nm		Comments
			-BSA	+BSA	
Pluronic L61	0.05	22.5	ND	10.6	
	0.1	22.5	ND	23.4	
	0.25	22.5	ND	48.8	
	0.5	22.5	ND	138.3	
	0.005	37	ND	138	
Pluronic L61	0.006	37	ND	-	
	0.008	37	336	-	
	0.01	37	455	120	
	0.025	37	960	(*)	
	0.04	37		(*)	
	0.05	37	1265	(*)	
	0.075	37	1120	(*)	
	0.1	37	LPS	LPS	
	0.25	37	LPS	LPS	
	0.5	37	LPS	LPS	
Pluronic L81	0.04	22.5	-	13.8	
	0.1	22.5	ND	20.6	
	0.25	22.5	ND	379	Very cloudy solution with BSA
	0.5	22.5	935	-	Very cloudy

					solutions
	0.01	37	-	266	
	0.04	37	1004	(*)	
	0.06	37	(*)	(*)	
	0.08	37	(*)	(*)	
Pluronic L121	22.5	0.01	-	541.5	
	22.5	0.05	-	330	
Pluronic F44	22.5	0.5	ND	12.9	
	22.5	1.0	ND	11.7	
	22.5	2.25	ND	14.2	
	22.5	4.5	ND	28.7	
	22.5	7.5	ND	-	
	22.5	10.0	ND	105	
	37	0.5	ND	84.4	
	37	1.0	ND	97.1	
	37	2.25	ND	137	
	37	5.0	ND	68.1	
	37	7.5	ND		
	37	10.0	12.3	69.4	
Pluronic L64	0.5	22.5	ND	10.8	
	1.0	22.5	ND	12	
	5.0	22.5	ND	21.6	Opalescence and smell fraction of aggregates (85 nm) with BSA
	0.1	37	ND	36.2	
	0.5	37	240	192.5	Slightly cloudy solution without BSA and very cloudy solution with BSA
	1.0	37	16.6	11.6	
	5.0	37	13.1	11.3	
Pluronic P85	22.5	0.5	ND	-	

	22.5	1.0	ND	12.9	
	22.5	5.0	ND	18.7	
	37	0.5	13.9	-	
	37	1.0	12.6	79.6	
	37	5.0	12.8	109	
Pluronic F108	37	2.0	-	22.8	-
Pluronic F127	37	1.0	-	23.2	-
	37	2.0	-	21.5	-
Tetronic T1307	22.5	2.0	-	ND	-
	37	0.5	-	16.7	-
	37	1.0	-	17.1	-
	37	2.0	-	16.6	37.4

"ND": Non Detectable

"LPS": Liquid Phase Separation.

(*) Turbidity was too high for light scattering measurements.

5

These results suggest that (1) hydrophobic poly(ethylene oxide)-poly(propylene oxide) block copolymers with propylene oxide content not less than 50% (w/v) reveal tendency for aggregation in aqueous solutions at physiological temperature, (2) aggregation and phase separation of these

10 copolymers is significantly enhanced in the presence of serum proteins.

Example 35 - Effects of Hydrophilic Pluronic Copolymers on Solution Behavior of Hydrophobic Pluronic Copolymers.

The same procedure as in Example 34, but substituting a mixture of two

15 different poly(ethylene oxide)-poly(propylene oxide) block copolymers for the single copolymer. The results were as follows:

First Copolymer (conc. %)	Second conc., %	T, °C	Effective diameter, nm	
			-BSA	+BSA
Pluronic L121	Pluronic F127 (0.5)	22.5	116.4	
	Pluronic F127 (1.0)	22.5	113.9	
	Pluronic F127 (5.0)	22.5	313.2	
	Pluronic F127 (0.5)	37	88.7	
Pluronic L121 (0.1)	Pluronic F127 (1.0)	37	77.1	
	Pluronic F127 (2.0)	37	177	
	Pluronic F127 (5.0)	37	262	
Pluronic L61 (0.1)	Pluronic F127 (0.5)	37	26.7	23.8
	Pluronic F127 (1.0)	37	23.6	12.9
	Pluronic F127 (2.0)	37	21.6	13.8
Pluronic L61 (0.125)	Pluronic F127 (1.0)	37	24.7	53
	Pluronic F127 (2.0)	37	22.3	-
Pluronic L61 (0.25)	Pluronic F127 (0.5)	37	(*)	-
	Pluronic F127 (1.0)	37	(*)	-
	Pluronic F127 (2.0)	37	22.4	15.0

Pluronic L61 (0.25)	Pluronic F108 (2.0)	37	840	-
Pluronic L61 (0.1)	Tetronic T1307 (1.0)	37	(*)	-
	Tetronic T1307 (1.5)	37	915.4	-
	Tetronic T1307 (2.0)	37	16.3	624.8
Pluronic L61 (0.15)	Tetronic T1307 (2.0)	37	387.4	-
Pluronic L61 (0.2)		37	520	-
Pluronic L61 (0.25)		37	735.3	-
Pluronic L61 (0.1)	Tetronic T1307 (2.5)	37	-	522.3
	Tetronic T1307 (3.0)	37		225
	Tetronic T1107 (2.0)	37	(*)	

"ND": Non-Detectable.

(*) Turbidity was too high for light scattering measurements.

- 5 These results suggest that, (1) hydrophilic poly(oxyethylene)-poly(oxypropylene) block copolymers with ethylene oxide content more than 50% (w/v) prevent aggregation of hydrophobic hydrophilic Poly(oxyethylene)-poly(oxypropylene) block copolymers with propylene oxide content not less than 50% (w/v) at physiological temperatures; (2) hydrophilic poly(oxyethylone)-poly(oxypropylene) block copolymers with ethylene oxide content more than 50% (w/v) prevent aggregation of hydrophobic hydrophilic poly(oxyethylene)-poly(oxypropylene) block copolymers with propylene oxide content not less than 50% in the presence of serum proteins.

Example 36 - Kinetics of Daunorubicin Accumulation

- 15 The kinetics of daunorubicin accumulation in SK cells and SK-resistant cells was measured for cells treated with daunorubicin at 10ng/ml by measuring the daunorubicin florescence accumulated in the cells ($\lambda_{ex} = 471\text{nm}$, $\lambda_{em} = 556\text{nm}$). The drug accumulation data for SK-resistant cells is displayed in Fig. 2 (line 1:

free drug; line 2: micellar form); the data for SK cells is also displayed in Fig. 2 (line 3: free drug; line 4: micellar form).

Example 37 - Polymer Biodistribution

Radioactive, tritium-containing derivatives of Pluronic P85 polymers were obtained from Kurchatov Institute of Atomic Energy, Moscow, Russia. 100 μ l per 20g of body weight of a 1% w/v isotonic solution of the radioactive copolymer (2×10^7 cpm/20g body weight) was administered *i.v.* into (a) BALB/c mice (from Kriukovo Veterinary Dept. of Russian Acad. Medical Sciences, Moscow, Russia) and (b) BALB/c mice into which 3×10^6 SP2/0^{dnr} murine myeloma cells had been injected subcutaneously 6 weeks previously. The biodistribution of polymer at various times post-injection of the radioactive copolymer was measured by sacrificing treated mice at the various timepoints, excising the tissues listed in the tables below, and quantifying the distribution of radioactivity by liquid scintillation counting. To prepare tissue samples for liquid scintillation counting, samples were placed in 1ml of tissue solubilizer (available from Serva Chemicals, Germany) and homogenized in the cold. The homogenates were incubated for 14 hours at room temperature, decolorized with 50 μ l of 30% hydrogen peroxide, and incubated overnight at room temperature.

For BALB/c mice lacking injected tumor cells, the results were:

Organ	Polymer content (% of initial dose per organ)		
	73 hours	92.5 hours	121 hours
Spleen	0.23	0.2	0.12
Liver	3.69	3.27	1.8

For BALB/c mice with injected tumor cells, the results were:

Organ	Polymer content (% of initial dose per organ)		
	73 hours	92.5 hours	121 hours
Spleen	0.35	0.47	0.36
Liver	3.71	3.35	3.35
Tumor	1.53	6.24	1.50

Additional observations derived from this set of experiments were (1) that degradation products of the polymers were not observed until 24 hours after polymer administration and (2) complete clearance of polymer from the mice occurred 250 to 300 hours after administration.

5 Example 38 - Blood concentrations of copolymer

100 μ l/20g body weight of the [3 H] - Pluronic P85 of Example 37 were administered to 6-week old BALB/c mice by *i.v.* injection or orally. The amount of radioactivity found in the blood of the mice at various timepoints post injection is shown in Figure 3, where the first bar in each pair is for *i.v.* injected polymer, and the second bar is for orally administered polymer.

Example 39

A 1:1.5 mixture of Pluronic P85 and Pluronic L64 having individual ratios (*n*) of (oxypropylene) to (oxyethylene) blocks of 1.00 and 1.50, respectively, and a combined value (*N*) of 1.30, was diluted with RPMI 1640 medium to a final concentration of 2.0% at 4°C. The mixture was incubated for 30 minutes at 37°C and then sterilized by filtration through a 0.22 μ m filter. An equal volume of a solution of 200 μ g daunorubicin in RPMI 1640 medium was added and this mixture was incubated for 30 minutes at 37°C.

20 Cytotoxicity to human ovarian cancer cells (CRL 157 cells) was measured, both for this preparation and a parallel preparation of free daunorubicin. The results were as follows:

conc. (ng/mL)	50000	10000	2000	400	80	16	32
---------------	-------	-------	------	-----	----	----	----

% Inhibition

Chemotherapeutic drug + Pluronic	100	100	100	100	94	53	8
Free drug	100	100	81	50	29	10	2

The daunorubicin compositions were evaluated for cytotoxicity in (i) human T-lymphoma (Jurkat) cells and (ii) normal human mononuclear cells. The results were as follows:

conc. (ng/mL)	50000	10000	2000	400	80	16	3.2
---------------	-------	-------	------	-----	----	----	-----

Cell % Inhibition

Jur. ¹	100	100	100	100	100	74	28
Jur. ²	100	100	100	83	59	36	21
Norm. ¹	100	100	91	60	21	5	2
Norm. ²	100	100	80	58	23	18	1

5 ¹Treated with chemotherapeutic drug + pluronic.

²Treated with free (non-micellar) chemotherapeutic drug.

Example 40

10 IC₅₀ values for (i) human T-lymphoma (Jurkat) cells and (ii) normal human mononuclear cells were determined for the daunorubicin composition of Example 39 and compared to those for free daunorubicin. Measurements were made at the indicated intervals of the drug contact with the cells. The results were as follows:

time (hours)	0.25	0.50	0.75	1.0	2.0	4.0	8.0	12
--------------	------	------	------	-----	-----	-----	-----	----

Cell IC₅₀ (ng/mL)

Jur. ¹	150	46	25	17	9.0	0.80	0.50	0.30
Jur. ²	120	68	35	25	19	16	3.0	5.2
Norm. ¹	3570	950	620	450	250	220	160	140
Norm. ²	4900	980	405	310	290	275	280	240

15 ¹Treated with chemotherapeutic drug + pluronic.

²Treated with free (non-micellar) chemotherapeutic drug.

Example 41

The antineoplastic agent vinblastine was incorporated into the block copolymer mixture described in Example 39. The IC_{50} of this preparation against SK cells was determined to be 0.121 $\mu\text{g/mL}$; the IC_{50} against SK-resistant cells was 0.0012 $\mu\text{g/mL}$. The IC_{50} values for free vinblastine were determined to be 0.095 $\mu\text{g/mL}$ against SK cells and 0.615 $\mu\text{g/mL}$ against SK-resistant cells.

Example 42

The antineoplastic agent mitomycin C was incorporated into the block copolymer mixture described in Example 39. The IC_{50} of this preparation against SK cells determined to be 0.265 $\mu\text{g/mL}$; the IC_{50} against SK-resistant cells was 0.005 $\mu\text{g/mL}$. The IC_{50} of free mitomycin C against SK cells was determined to be 0.320 $\mu\text{g/mL}$; the IC_{50} against SK-resistant cells was 1.120 $\mu\text{g/mL}$.

Example 43

The antineoplastic agent methotrexate was incorporated into the block copolymer mixture described in Example 39. The IC_{50} of this preparation against SK cells was determined to be 0.880 $\mu\text{g/mL}$; the IC_{50} against SK-resistant cells was 0.0175 $\mu\text{g/mL}$. The IC_{50} of free methotrexate against SK cells was determined to be 1.090 $\mu\text{g/mL}$; and against SK-resistant cells was 1.340 $\mu\text{g/mL}$.

Example 44

The antieoplastic agent colchicine was incorporated into the block copolymer mixture described in Example 39. The IC_{50} of this preparation against SK cells was determined to be 0.720 $\mu\text{g/mL}$; the IC_{50} against SK-resistant "SKVLB" cells was 0.045 $\mu\text{g/mL}$. The IC_{50} of free colchicine against SK cells was determined to be 0.950 $\mu\text{g/mL}$; and against SK-resistant cells was 7.450 $\mu\text{g/mL}$.

Example 45

The antineoplastic agent daunorubicin was incorporated into the block copolymer mixture described in Example 39. The IC_{50} of this preparation against

SKOV3 cells were determined to be 0.600 $\mu\text{g/mL}$; the IC_{50} against SKOV3 resistant cells was 0.0068 $\mu\text{g/mL}$. The IC_{50} of free daunorubicin against SKOV3 cells was determined to be 0.620 $\mu\text{g/mL}$; and against SKOV3-resistant cells was 5.850 $\mu\text{g/mL}$.

What is claimed:

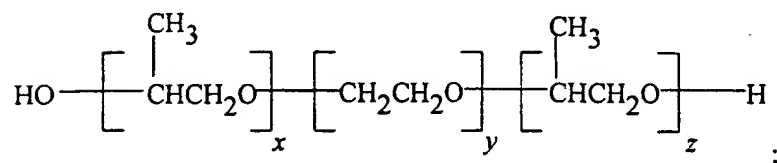
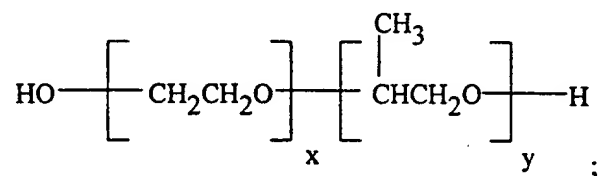
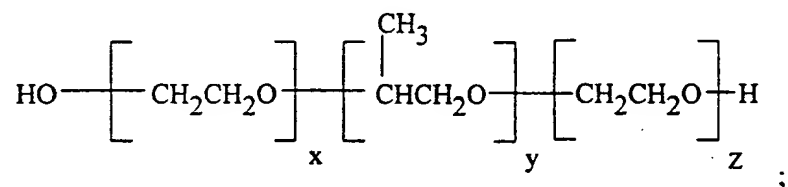
1. A composition for the delivery of biologically active agents comprising a poly(oxyethylene)-poly(oxypropylene) block copolymer and at least one of the following:

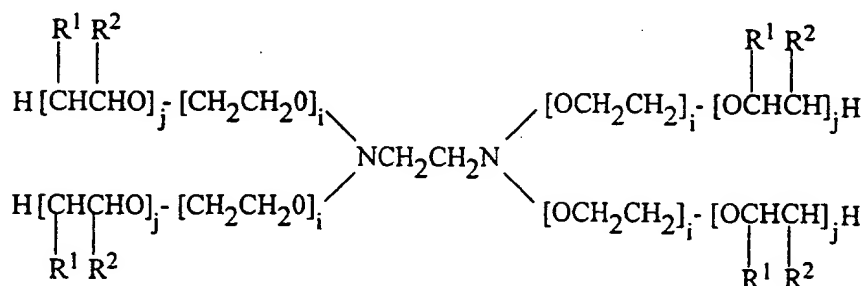
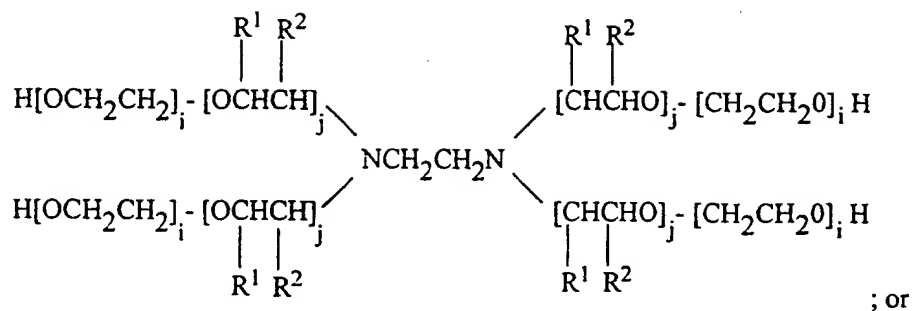
(a) at least one protein, peptide, or derivative thereof,

(b) at least one biologically active agent, or derivative thereof having reduced cellular transport, reduced penetration into tissues, or reduced penetration across biological barriers, due to membrane proteins,

and wherein the hydrophobe percentage of the poly(oxyethylene)-poly(oxypropylene) block copolymer is at least about 50%.

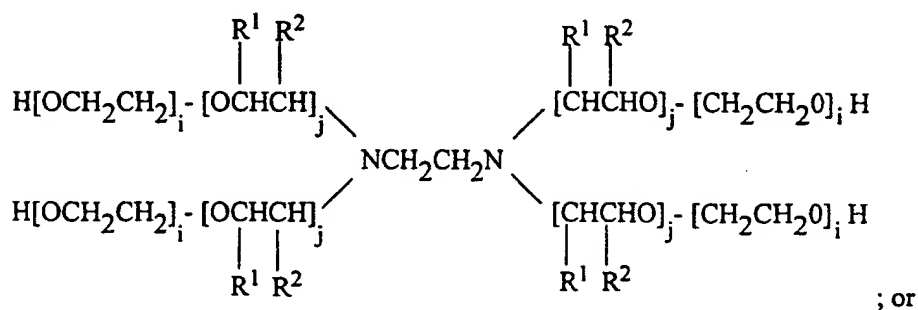
2. The composition according to claim 1, wherein said block copolymer is of the formula:

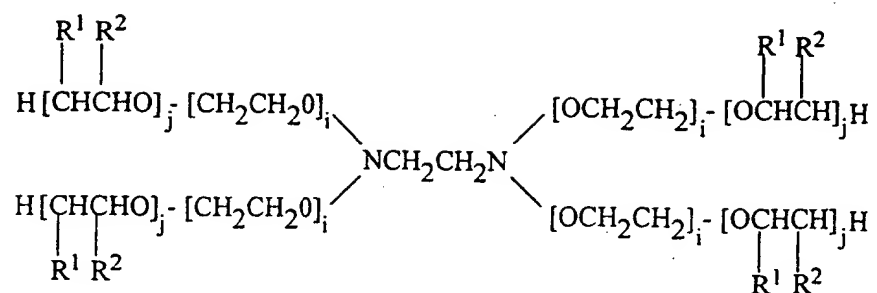




in which x, y, z, i , and j have values from about 2 to about 800, and wherein for each R^1, R^2 pair, one is hydrogen and the other is a methyl group.

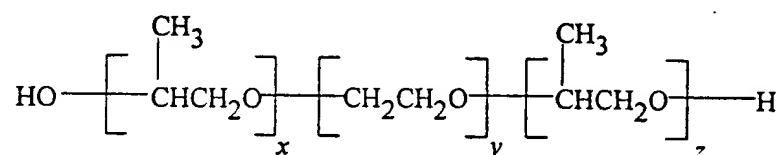
3. A composition for the delivery of a biologically active agent, or derivative thereof, comprising a biologically active agent, or derivative thereof, and a poly(oxyethylene)-poly(oxypropylene) block copolymer of the formula:





wherein for each R^1, R^2 pair, one is hydrogen and the other is a methyl group.

4. A composition for the delivery of a biologically active agent, or derivative thereof comprising a biologically active agent, or derivative thereof, and a poly(oxyethylene)-poly(oxypropylene) block copolymer of the formula:



in which x, y , and z have values from about 2 to about 800.

5. A composition comprising at least one block copolymer with ethylene(oxide) content of 50% or less, and at least one block copolymer with ethylene(oxide) content of 50% or more, and a biologically active agent.

6. The composition according to claim 5, wherein the ratio by weight of the block copolymer with ethylene(oxide) content of 50% or less to the block copolymer with ethylene(oxide) content of 50% or more is 1:2.

7. The composition according to claim 5, wherein the ratio by weight of the block copolymer with ethylene(oxide) content of 50% or less to the block copolymer with ethylene(oxide) content of 50% or more is 1:5.

8. The composition according to claim 1, wherein the protein, peptide or derivative thereof is selected from the group consisting of immunomodulators,

cytokines, hormones, enzymes, tissue plasminogen activators, clotting factors, colony stimulating factors, and erythropoietins.

9. The composition according to claim 8 wherein the hormone is a human growth hormone.

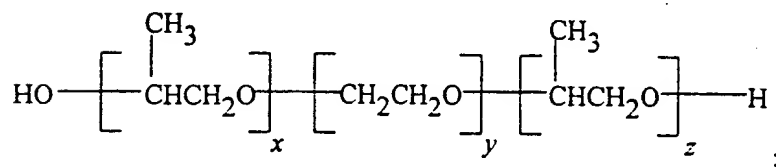
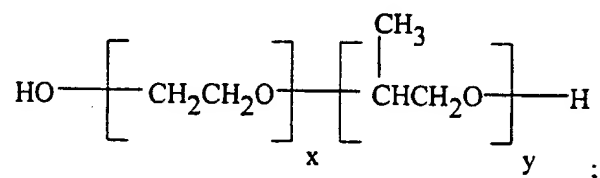
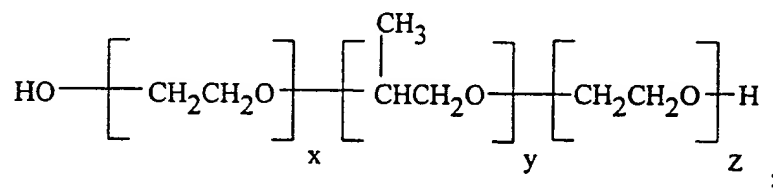
10. The composition according to claim 9 wherein the hormone is insulin.

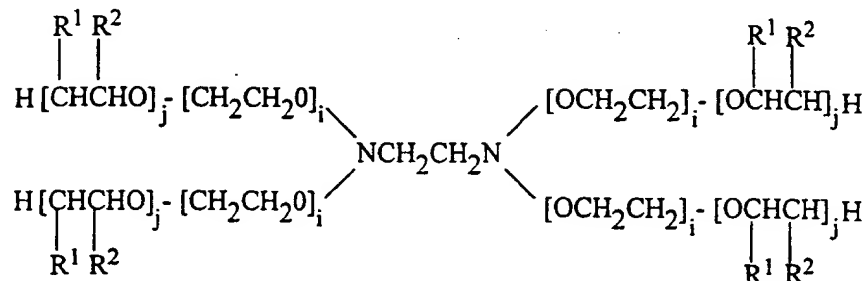
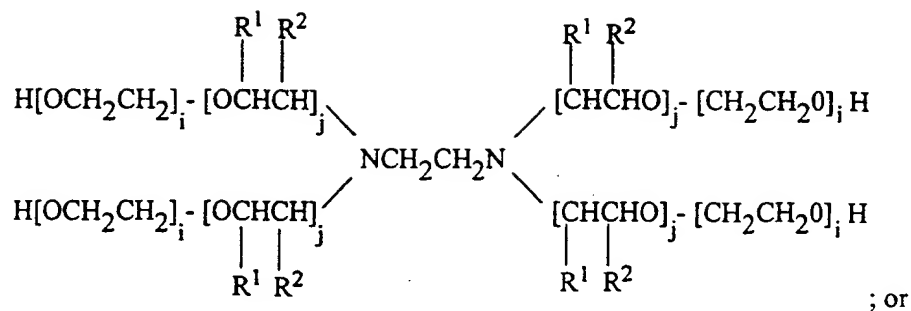
11. The composition according to claim 1 wherein the protein, peptide, or derivative thereof is a neuropeptide, or derivative thereof.

12. The composition according to claim 1 wherein the protein, peptide, or derivative thereof is selected from the group consisting of recombinant soluble receptors and monoclonal antibodies.

13. A method of treating a mammal comprising administering to said mammal an effective amount of a composition according to claim 1.

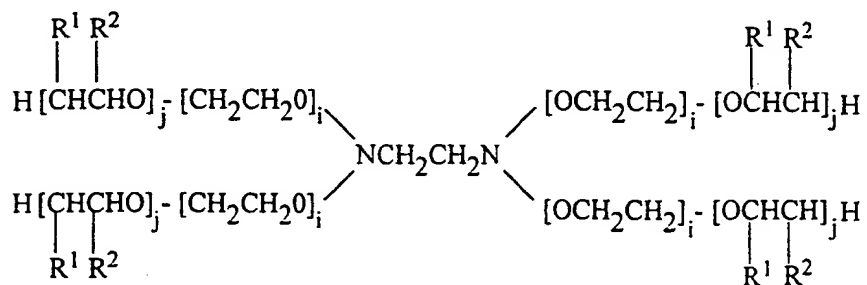
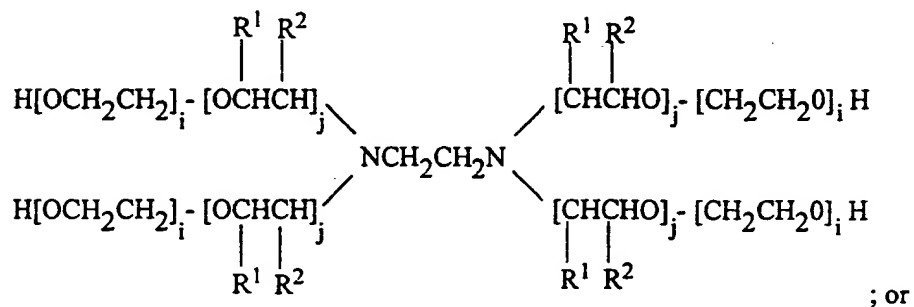
14. The method according to claim 13, wherein said block copolymer is of the formula:





in which x, y, z, i , and j have values from about 2 to about 800, and wherein for each R^1, R^2 pair, one is hydrogen and the other is a methyl group.

15. The method according to claim 13 wherein said block copolymer is of the formula:



wherein for each R^1 , R^2 pair, one is hydrogen and the other is a methyl group, and the ethylene(oxide) content of said block copolymer is less than 50%.

16. The method according to claim 13 further comprising at least one block copolymer with ethylene(oxide) content of less than 50%, and at least one block copolymer with ethylene(oxide) content of more than 50%.

17. The method according to claim 13, wherein the protein, peptide or derivative thereof is selected from the group consisting of immunomodulators, cytokines, hormones, enzymes, tissue plasminogen activators, clotting factors, colony stimulating factors, and erythropoietins.

18. The method according to claim 17 wherein the hormone is a human growth hormone.

19. The method according to claim 18 wherein the hormone is insulin.

20. The method according to claim 13 wherein the protein, peptide, or derivative thereof is a neuropeptide, or derivative thereof.

21. The method according to claim 13 wherein the protein, peptide, or derivative thereof is selected from the group consisting of recombinant soluble receptors and monoclonal antibodies.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/02538

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61K 38/27, 38/28, 38/43, 39/395

US CL :424/141.1; 514/1, 2, 12, 21

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/141.1; 514/1, 2, 12, 21

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, Dialog, Derwent/WEST

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X — Y	US 4,609,546 A (HIRATANI) 02 September 1986, see entire document, especially col. 1, line 65 to col. 2, line 5, and col. 2, lines 43-58.	1-8 — 9-21
X — Y	US 4,027,013 A (BICK et al.) 31 May 1977, see entire document, especially col. 2, lines 27-63.	1-8 — 9-21
X — Y	US 4,740,498 A (HIRAO et al.) 26 April 1988, see entire document, especially col. 2, lines 44-56.	1-8 — 9-21
X — Y	US 4,188,373 A (KREZANOSKI) 12 February 1980, see entire document, especially col. 2, lines 55-68.	1-8 — 9-21

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"B" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"A" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

27 APRIL 1999

Date of mailing of the international search report

14 MAY 1999

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

DONNA C. WORTMAN, Ph.D.

Telephone No. (703) 308-0190

JOYCE BRIDGERS
PARALEGAL SPECIALIST
CHEMICAL MATRIX

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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/02538

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	EP 0 211 601 A2 (INTERNATIONAL MINERALS AND CHEMICAL CORPORATION) 25 February 1987, see entire document.	1-9 ----- 10-21
X --- Y	KABANOV, A.V. et al. A new class of drug carriers: micelles of poly(oxyethylene)-poly(oxypropylene) block copolymers as microcontainers for drug targeting from blood in brain. Journal of Controlled Release. 1992, Vol. 22, pages 141-157, see entire document.	1-7, 12 ----- 8-11, 13-21
X --- Y	CHAWLA, A.S. et al. Aggregation of insulin, containing surfactants, in contact with different materials. Diabetes. May 1985, Vol. 34, No. 5, pages 420-424, see entire document.	1-8, 10 ----- 9, 11-21

NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under Article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)".

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

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NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Preliminary Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where Applicable, Article 41.

When ? Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments ?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How ? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments ?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.